

EXPIRATORY MUSCLE STRENGTH TRAINING IN PATIENTS WITH  
IDIOPATHIC PARKINSON'S DISEASE:  
EFFECTS ON PULMONARY VOLUME AND SWALLOW FUNCTIONS

By

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**EXPIRATORY MUSCLE STRENGTH TRAINING IN PATIENTS WITH  
CHRONIC PARSONSON'S DISEASE:  
EFFECTS ON PULMONARY, COUGH, AND SWALLOW FUNCTIONS**

By

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Major Department: Communication Sciences and Disorders

Respiratory dysfunction is a frequent comorbidity among patients with idiopathic Parkinson's disease (PD). Respiratory muscles control both ventilatory and non-ventilatory functions and their strength reduction might cause serious consequences in these functions. This study investigated the effects of an expiratory muscle strength training (EMST) program on the strength of the expiratory muscles and the effects of EMST on ventilatory (pulmonary) and non-ventilatory (pulmonary, cough, and swallow) functions of the expiratory muscles in patients with PD. It further investigated the effects of antiparkinsonian medications on pulmonary and cough functions.

Participants included 10/14 females, 6 male individuals with PD of moderate clinical severity (Hoehn & Yahr 3-4) with an average age of 64.4 years. Respiratory measures included maximum expiratory pressure (MEP), forced expiratory volume in 1 second (FEV1), the rate of FEV1 up forced vital capacity (FEV1/FVC), and maximum expiratory flow (MEF). Cough measures included inspiratory and expiratory phase

duration, peak expiratory flow rate, and amplitude and duration of the post-peak plateau. Swallowing measures included temporal measures of pharyngeal response, hyoid movement, and oropharyngeal closure during swallowing of food and effect of food liquid and pudding boluses as well as displacement measures for hyoid superior and anterior movements. In addition, the total duration and number of swallows for a food then liquid, average penetration-aspiration (P-A) scores of different bolus types and consistencies, and scores in the swallowing quality of life questionnaire (SWAL-QOL) were included.

EMDT resulted in significant improvements in most pulmonary function measures (MMP, PTV1, MEP, and PEF/MPVC), only cough respiratory phase duration, and hyoid elevation displacement rate and P-A scores during swallowing. Antiperistaltic medications did not alter any of the pulmonary function measures but negatively affected the cough respiratory phase duration and peak expiratory flow. Males significantly outperformed females in most pulmonary (except PEF/MPVC) and cough (except compression phase duration) measures and the total SWAL-QOL score. Females on the other hand, significantly outperformed males in the measure of hyoid anterior movement displacement rate during swallowing.

This study was the first step towards exploring the efficacy of EMDT in patients with IPD. The lack of medication effect could lend support to the potential of non-depressants, in addition to depressants, perhaps involvement in the pathogenesis of respiratory and cough-dysfunction in patients with IPD. These mechanisms are complex and require careful examination of their effects on the functions investigated in the current study.

## CHAPTER I INTRODUCTION AND LITERATURE REVIEW

### **Parkinson's Disease: Definition and Classification**

**Parkinsonism** refers to a general syndrome of movement, postural, and/or cognitive disorders caused by the loss of dopaminergic neurons in the basal ganglia and brainstem (Goodwin Chang & Mollie, 1995; Marsden, 1994). Based on their presumed etiology and clinical presentation, three general subtypes of Parkinsonism have been identified to include primary or idiopathic Parkinson's disease (previously known as "paralysis agitans"), secondary Parkinsonism (due to identifiable causal agent such as toxins, drugs, or infections), and multiple heterogeneous system degeneration (Shoulst & Yahr, 1960; Marsden, 1983). Primary or idiopathic Parkinson's disease (IPD), the focus of this project, is the type of Parkinsonism with no identifiable pathology that can be considered as its etiologic factor. Recently, three different phenotypic forms of IPD have been suggested. These phenotypes include tremor predominant, akinetic rigid, and postural instability/gait problems (Dubois & Keller, 1998; 2001). IPD can be further classified based on the level of disability into one of five stages using an arbitrary scale (Shoulst & Yahr, 1967). This placement refers the patient's level of involvement in the impairment in their balance (or righting reflexes). It ranges from unilateral involvement (stage I) to stage V defined as the most severe level of clinical disability of confinement to bed or wheelchair (Table 1-1).

Table 1 | Modified Hoehn &amp; Yahr Clinical Severity Stages

Stage	Clinical Severity
0	No signs of disease
1	Unilateral disease
1.5	Unilateral physical involvement
2	Bilateral disease, without impairment of balance
2.5	Bilateral disease, with one way or pull arm
3	Bilateral moderate bilateral disease, some postural instability physically independent
4	Severe disability with falls or with or without
5	Wheelchair bound or confined to bed

IPD results from the progressive atrophy/degeneration of the diaphragm producing stiffening of the hemidiaphragm (Daly *et al.*, 1990; Muntlich, 1993). Movement abnormalities dominate the signs and symptoms associated with IPD and include tracheal rigidity, lordgynosis (hyperextension abnormality), hyperkinesis (excessive contracture), atonous (normal contracture) and impaired postural reflexes (Marden, 1994). These symptoms can affect, with variable degrees, many of the physiological functions under muscular control (i.e., walking, respiration, cough, swallowing). Consequently, various difficulties in respiration, cough, and swallow are frequently present in patients with IPD.

### **Respiratory Dysfunction in Patients with IPD**

Respiratory dysfunction is frequently reported as a common comorbidity among patients with IPD (Cove), Johnson, & Rywick, 1998; Schaafsma, Schafer, Schafer, Gendrich, & Schaefer, 2004; Ball & Barry, 1994). In addition, respiratory pneumonia, a known respiratory complication, has the highest mortality risk rate among all comorbidities in patients with IPD (Fernandez & Lopez, 2002; Mehta & Yahr, 1997; Papp & Chikara, 1999; Singer, 1993). These respiratory impairments include reduced vital capacity (De La Torre, Mir, & Sanchez, 1985; Inapamla-Alonso, Amatores-Arreaga, Calvo-Vidalva, & Miranda-Lorenzo, 1994; Ipe, Gendrich, Schaefer, Loring, & Brady, 1987), lower than normal values for maximal flow values (Hewitt, Ruggieri, Marwick, Mehta, & Sage, 1989; Inapamla-Alonso *et al.*, 1994; New *et al.*, 1987), and abnormal pattern of the flow-volume curve (Hewitt *et al.*, 1989; Inapamla-Alonso *et al.*, 1994; Vanden Gauchery, Delfon, Harman, Gossing, & Clivio, 1994). The cause for this respiratory dysfunction remains unclear and has been attributed to many factors including an obstructive disorder that is caused by or associated with IPD. In fact, Glendon

and colleagues (1972) concluded that expiratory flow obstruction in patients with IPD is caused by a narrowing chest wall (described with increased airway resistance or decreased lung elastic recoil (Chernau, Berman, Cohen, & McCutcheon, 1972). However, Hsu and colleagues (1967) found evidence that this obstructive pattern was consistent with the neuromuscular dysfunction found in IPD and attributed the expiratory flow obstruction to the mechanisms of abdominal distention, rigidity, or paraspasmodic hyperactivity as they affect the expiratory muscles (Hsu et al., 1967).

Although an airway obstruction is a widely accepted explanation for the consistently observed expiratory dysfunction in patients with IPD, another theory cannot be ignored. Karvonen, Saariluoma, and Mäkelä (1994) concluded that the apparent upper airway obstruction (a neuromuscular disorder) might be an erroneous interpretation of underlying weak expiratory muscles. This weakness in expiratory muscles can lead to reduced maximal expiratory pressure and peak expiratory flow rate values as well as an increased residual volume value. This collection of signs often mimicks in the erroneous interpretation of an airway obstruction, although the maximum in- or flow volume specific resistances are generally normal.

Both maximum expiratory (MEP) and expiratory (MIP) pressures, known indices of expiratory and inspiratory muscle strength, have been found to be reduced in patients with IPD when compared to reference values (Bogard, Bovenziak, Marmocchi, Machin, & Sugi, 1989; De Boer, De Boer, Laro, & Peile, 1993; Bovenziak et al., 1994; Wilson, Jonsson, Davidowich, Knappeau, Magallon, Kuo, Yang, & Cassano, 2002). In addition, Bogard and colleagues (1994) found lower values of MEPs and MIPs in patients with IPD with increased clinical severity. However, Terlepis and colleagues



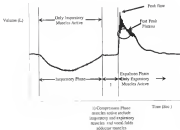
reported MEP values in a smaller group of patients with IPD that are comparable to age-matched controls (Chelape, McCool, Friedman, & Kappen, 1992).

The respiratory muscles are involved in other behaviors which serve motor or non-motor functions such as coughing, sneezing, yawning, swallowing, and speaking. The reduced respiratory muscle strength in patients with IPD might cause some adverse consequences in these non-motor functions. Therefore, the activities of the respiratory muscles used performing these behaviors as well as the possible effects of their rehabilitation are worth exploring. Thus, improving the strength of the respiratory muscle has the potential to improve respiratory and non-respiratory functions. Nevertheless, some of these behaviors are more relevant to the purpose of this study than others. Accordingly, the activities of the respiratory muscles during coughing and swallowing, the effects of IPD on these functions, and their rehabilitative potential will be discussed.

### **Cough: Physiology and Respiratory Muscle Activity**

Cough is a protective airway reflex in which an attempt is made to remove obstructions from the upper (trachea) or lower (bronchi and bronchioles) airways using high airflow velocities generated by forced expiration (Shannon, Balas, & Lindsey, 1997). The reflex arc of this behavior includes the presence of sensory stimuli that can elicit (through neural connections) rapid motor responses. The stimulation of some receptors (therefore, is carried through sensory neurons - whose stimulation starts the activation of motor neurons resulting in the production of cough). The motor pattern of each cough consists of three consecutive phases (see Figure 1-3): inspiration,

Figure 3-1 A rough airflow waveform.



compression and expansion (Leith, Baines, Shollum & Jones, 1946). The activity of the expiratory muscles during each of these phases is complex and requires a control mechanism for the commencement and termination of the cough.

During the expiratory phase of the cough, expiratory muscles and vocal fold adductors simultaneously contract. The contraction of the expiratory muscles continues in the next phase (aspiration); but they are combined with the contraction of the abdominal expiratory muscles and the laryngeal adductor muscles (and relaxation of the adductors). Finally, expiration results from opening the glottis (by relaxing the adductors and contracting the adductors) that is followed by the termination of expiratory muscle activities, with the persistent activity of the abdominal expiratory muscles resulting in the deflagratory airflow velocity of the cough (Shollum et al., 1947).

The reflexive pattern of each cough is initiated by stimulating sensory receptors of the larynx, trachea or bronchi. Two kinds of receptors have been associated with the stimulation of cough, rapidly adapting receptors (RAR) and pulmonary stretch receptors. Both of these kinds are present in the trachea and bronchi, whereas only RAR are present in the larynx (Coleridge & Coleridge, 1974; Kallman, Saito, Ambaglio, & Widdicombe, 1981). C-fiber afferents carry these stimulations from the larynx (through the external superior laryngeal nerve (ELN), trachea and bronchi (through vagal afferents) to the cough) nucleus tractus solitarius (NTS), which projects to central expiratory nuclei in the brainstem (including DRG, rVRG, and VRG) to potentially alter their activation.

### **Swallowing: Physiology and Neural Control**

Swallowing is another non-respiratory function that the expiratory muscles are involved in. It refers to 'the entire act of deglutition from placement of food in the mouth

through the oral, pharyngeal, and esophageal stages of the swallow until the material enters the stomach through the gastroesophageal junction (Logemann, 1999, p. 1). Physiologically, a swallow could be divided into four phases, oral preparatory, oral, pharyngeal, and esophageal. Although some variations exist between different swallows, the speech sequenced contraction of the bolus among these phases is critical for its safe delivery to the stomach. This smooth transition is provided by an intricate neuromuscular control system that controls and coordinates the activities of different muscles involved in each of the swallowing phases. Understanding the physiological objectives of each phase and the neuromuscular mechanisms involved in each of them is critical for replicating the physiologic and neuromuscular coordination that occurs between the two vital acts of swallowing and respiration.

The oral preparatory phase of the swallow “prepares” the material placed into the oral cavity by transforming it to a consistency ready for swallowing. The oral preparatory phase of swallowing starts with recognizing the material approaching or lying in the oral cavity as food and the sensory perception of its volume and viscosity. Various manipulations of the food-like phase in this phase (including mastication), with the end result of collecting and holding the food into a cohesive, unmanipulated, bolus of a size ready to be propelled posteriorly (Logemann, 1999). During these manipulations, constant peripheral sensory feedback is necessary to track the location and motion of the bolus as well as to prevent any injuries to the parts of the oral cavity (Lazar, 1940). Throughout this phase, the respiratory airway is open and breathing is continued through the nasal cavity (Logemann, 1999).

During the oral phase of swallowing, the bolus is propelled backwards by the tongue. This is achieved by the tongue motion sequentially spanning the bolus against the hard palate (Kubota, Liu, Lajunen, Egan, & Friesen, 1983). The tongue tip and its sub-portion in the mid by sealing off other potential routes for the bolus by tightly attaching to the alveolar ridge. The pressure the tongue applies to the bolus is proportional to its viscosity, with thicker food requiring more pressure (Kawachi, Nishi, Lajunen, & Larsson, 1994). The supraglottic airway remains open during the oral phase of swallowing, allowing for nasal breathing to take place. When the bolus head reaches an arbitrary point where the mandible crosses the tongue base, it activates receptors (especially deep proprioceptors) at the base of the tongue and the oropharynx. These activations are carried to the brainstem (specifically, nucleus tractus solitarius – NTS) and further via the V, VII, IX, X, and XI cranial nerves. The NTS passes this information to the nucleus ambiguus, which coordinates the termination of the oral phase of swallowing and the initiation (triggering) of the pharyngeal swallow pattern as a smooth transition that no passing of the posterior bolus component is observed. To do this, the nucleus ambiguus relies on a pool of neural motor neurons that includes the V, VII, IX, X, and XI cranial nerves (Lajunen, 1994).

When the pharyngeal swallow is triggered, a pattern of movement of several oral, pharyngeal and laryngeal structures takes place. This pattern is characterized by the closure of the velopharyngeal port by the superior and posterior movement of the velum, the upward and forward movement of the larynx larynx and the larynx, contributing to the spring stimulus element and the esophagopharyngeal muscle opening (sequentially) the closure of the larynx by the sequential closure of the two vocal folds, the laryngeal

ventrals (by inferior rotation) and medial movement of the arytenoid cartilages, and the laryngeal elevation and anterior movement) and the oesophagus. The pharyngeal swallow also includes movements that result in the opening of the upper oesophageal sphincter (i.e., oesopharyngeal sphincter), and delivering the bolus into the pharynx, and, ultimately, into the oesophagus. This action involves sliding the bolus over a ramp-shaped tongue base, followed by the propulsion of the bolus into the oesophagus by the simultaneous action of tongue-base retraction and progressive contraction of the pharyngeal wall. The oesopharyngeal opening is achieved by a number of sequential muscular contractions. These contractions start by the relaxation of the oesopharyngeal muscle, followed shortly (approximately 0.1 seconds) by an isometric opening as a result of the hyolaryngeal superior and anterior movement. The passing of the bolus head through the sphincter induces an opening and allows for the bolus to pass into the oesophagus. As soon as the bolus has cleared through the sphincter, the larynx is lowered and tension in the oesopharyngeal muscle is relaxed. Entering the oesophagus, the bolus is pushed down by the progressive, sequential contraction (peristalsis) of the oesophageal muscles until it reaches the lower oesophageal sphincter, which opens to empty the bolus into the stomach (Logemann, 1980).

A central pattern generator (CPG) for the rhythmic and sequential production of swallowing has been established (Casperen, 1989; Dery, 1992; Iann, 1992) in various species of the mammalian level. The CPG neurons are concentrated into the dorsal (also called the dorsal swallowing group, DSG) and ventral (also called the ventral swallowing group, VSG) nuclei of the medulla. Swallowing neurons have been located in the dorsal medulla within the nucleus tractus solitarius (NTS) and neighboring nucleus

formation and in the ventrolateral nucleus (VLN) just superior to the nucleus reticularis (Jean & Car, 1978; Ransler & Jean, 1983). The nuclei of CPD activities are connected not through a point of monosynaptic including the trigeminal (V), facial (VII), glossopharyngeal (IX), vagus (X), laryngeal/nasal (XII) and mono-synaptic or high-cervical levels C1–C8 of the spinal cord (Carpenter, 1989; Dary, 1968; Miller, 1962).

Some level of functional organization exists within the neural network (Jean, Car, & Ransler, 1997). According to the contemporary model of the swallowing, neural control, the primary sensory relay area in the NTS (i.e., NTS) is involved, essentially, in the generation of the swallowing motor pattern and driving the neurons of the VAG. The VAG, in turn, is involved in the dissemination of the drive to the motor neurons involved in the swallowing act (e.g., V, VII, IX, X, and XII) and the coordination of their activities (Jean, 1995; Jean et al., 1997). Due to its intimate relationship with the motor neurons, the VAG is conceived to be involved in the encoding and the coordination of their activities during different motor behaviors (e.g., swallowing, regurgitation). This view is supported by electrophysiological (Amin & Car, 1984; Amin, Car, & Jean, 1988; Amin, Car, & Ransler, 1998; Esner, Oka, & Tanaka, 1993), imaging (Jean & Car, 1978; Ransler & Jean, 1983; sensory recording (Miller, 1962) pattern induction (Carpenter, 1991; Ransler & Jean, 1995; Ransler/Charlton, Cavalli, & Jean, 1998) and activation latency experiments (Jean & Car, 1978).

Although much is yet to be learned about the neural mechanisms of the CPD in encoding and generating the swallowing motor pattern, the evidence currently available suggests that the inherent properties of the neurons in this network and their interactions is fundamental in shaping the overall sequence of the CPD. Jean (1994) suggested that

presence of a neural network within the NTS-EDM4 to control the pattern of neuronal firing. This neural network is believed to be formed by a chain of neurons with a rostrocaudal organization, in which the proximal-distal progression of the swallowing cycle is controlled respectively by neurons in the rostrocaudal axis of the NTS-internal network. Activation of neurons in the rostral end of this chain starts the sequential contraction of the swallowing muscles by successive excitatory synapses with other neurons in the network. Excitation of rostral neurons in the network (controlling proximal swallow muscles) also requires the release of a continuous inhibitory pattern of vagal neurons controlling distal swallowing muscles. This internal mechanism of the CPG is supported by the findings of the NTS neurons intrinsic properties (Gillioet, 1991; Wallen, Dale, Ebers, Buchhorn, & Hill, 1987; Tell & Blandy, 1994; Tell & Jones, 1991, 1993). Specifically, some NTS neurons discharge a rhythmic burst pattern due to their possession of an early transient inward potassium-current ( $I_{K_{\text{ATP}}}$ ). Some other NTS neurons possess low-threshold activated calcium currents ( $I_{\text{L-type}}$ ) involved in the activation of neurons previously activated (spontaneous/evoked). In addition, stimulation of NTS neurons with NoDA neogenin produces a "postswallow hicc" activity likely to be involved in burst generation of the CPG (Jones, Orr, & Kessler, 1997).

### Swallowing and Respiration, Functional and Neural Interactions

Swallowing and respiration are not exclusively reciprocal physiological acts. In fact, respiration is only interrupted during the pharyngeal stage of the swallow while it is maintained in the other swallowing phases (i.e., oral and preparatory, and esophageal). This brief interruption of the breathing mechanism is referred to as the apneic period and



reflects the climate of the airway, and the temporary suspension of chest wall movement (Lagerstedt, 1949).

**Swallowing and respiration strongly interact both functionally and centrally.** The occurrence of swallowing as a specific phase of the respiratory cycle is species-dependent. In cats and humans, swallowing occurs predominantly during expiration, whereas it occurs predominantly during inspiration in dogs, rabbits, and monkeys (Dick, Chu, Rasmussen, & Charnack, 1993; Martin, Lagerstedt, Barker, & Doolle, 1999; Nakano, Yamanaka, & Honda, 1983). Whether swallowing occurred during inspiration or expiration, no significant change in the ventilation level is observed (Chu & Rasmussen, 1994). Minor differences, however, are observed in the duration and depth of the interrupted respiratory cycle. If swallowing occurs during expiration, the expiratory phase of the cycle is extended with no change in the tidal volume. In the case of swallowing during inspiration, however, the expiratory phase is sharply terminated for the residual volume phase. This is followed by an abbreviated expiratory phase. Swallowing during inspiration, therefore, results in a reduction of both the tidal volume and the duration of the respiratory cycle. In either case (inspiratory or expiratory swallow), an increase in the total volume of the breath cycle immediately following the swallow is observed (Nakano et al., 1983). If more than one swallow is attempted in a sequence, the associated ventilating pattern depends highly on the swallow rhythm (regular or irregular) and its frequency. Repeated swallowing (rhythmic or arrhythmic) is associated with faster and deeper post-swallow breaths. Irregular swallowing rhythm is associated with a ventilating pattern that is inconsistent in frequency and depth (tidal volume). When slow regular repeated swallows are attempted, the ventilating pattern

remains unchanged, with slow-regular deep breaths observed. Faster repeated patterns are associated with faster breathing frequency that is induced in magnitude (tidal volume). Complete suspension of the respiratory cycle is observed, however, when very fast regularly repeated ventilations are produced (Joss et al., 1997). In addition, there is evidence to suggest that for muscle activation in respiration and swallowing, a shift in their swallowing pattern to a temporary non-regular pattern is observed if a respiratory demand (e.g., apnoea) is sensed (Ding & Isaacs, 1998).

Generally, evidence exists that some neurons firing during respiration are also active during swallowing (Sera, 1984). The phenomenon of "neuron flexibility" (Ding 1994) is supported by the findings that a common pool of motoneurons and interneurons exists to support the activation of the respiratory/swallowing muscles during both functions. Common motoneurons whose function is shared between the two functions include the IX, X, and XI cranial nerves (Larson, Tjorne & Ku, 1996; Sera, 1988). The two CPGs for the two functions (i.e., respiration and swallowing) probably interact through their shared interneurons (Larsson, Malmgren, Isacsson, & Grillner, 1996; Grillner, 1990; Larson et al., 1994; Oka, Tazaki, & Sera, 1994; Tjorne & Larson, 1993) to generate the motor pattern (through activation and unconscious inhibition) of the function at hand. This view is supported by evidence that a cellular basis exists for neurons in the NTS to perform multiple tasks (Celli & Joss, 1994, 1997). Depending on their inputs, neurons of the NTS can have several firing patterns (e.g., slow, rhythmic burst, and bursting-like firing) suggesting the potential of their involvement in multiple functions (Darkinson & Moulins, 1990; Joss et al., 1997).

### **Effects of Bolus Consistency Variation on Swallow Physiology**

Many studies have examined the effects of bolus consistency variation on the quantitative features of the oral and pharyngeal phases of swallowing. Indeed, increases of some muscle activation level and duration as measured by surface electromyography (sEMG) were noted. Specifically, both submental (supratyoid) and subhyoid (sternohyoid) recordings were reported to increase in amplitude (Thomas & Dadds, 1999; Ding, Logemann, Larson, & Rademaker, 2005) and duration (Ding et al., 2005) with increases in bolus consistency. In addition, measures of onset temporal measures of swallowing were also reported as a result of increases in consistency. These included increases in the duration of the oral onset time (Thomas, Ram, Marney, Dadds, Kalerius, Boman, Cook, & Ling, 1998), loss of the tongue contact to the posterior pharyngeal wall (Boman, Logemann, Rademaker, Kalerius, Popik, Larson, & Halper, 1995), pharyngeal transit time (Baker, Olson, & Fikberg, 2003; Thomas et al., 1993), pharyngeal penetration (Thomas et al., 1993), and upper esophageal sphincter opening (Dantes et al., 1999; Lazarus et al., 1995). Finally, increased bolus consistency was found to increase pharyngeal pressure (Dobrow et al., 2005) and reduce penetration and aspiration of the airway (Dobrow et al., 2005; Kikinisator, Palmer, & Rosenberg, 2004). However, bolus consistency changes did not alter the kinematic results of the pharynx or the upper esophageal sphincter (Mass, Ernst, van Hartswanger, Gordon, Curran, Acham, & Curran, 2004). Nor did it alter the swallow associated breathing pattern (Pellegrino & Mills, 1994).

### **Effects of Intra-Volcanic Variations on Swallow Physiology**

Many studies investigated the effects of bolus volume variations on the temporal and displacement measures of swallowing physiology, as well as on effects on swallow muscle activation and the swallow associated breathing pattern. Increases in the bolus volume were found to increase the temporal measures of laryngeal elevation (Kubota & Logemann, 1997) and closure (Larsen et al., 1993), as well as the temporal measures of oral/upper esophageal sphincter (Denton & Dodds, 1998; Larsen et al., 1993; Denton et al., 1998) and nasal swallow duration (Kubota & Logemann, 1997), while they were found to decrease the duration of the time of the tongue contact to the posterior pharyngeal wall (Larsen et al., 1993). However, changes in bolus volume did not change the temporal measures of oral phase (Denton & Dodds, 1998) and pharyngeal transit (Denton & Dodds, 1998; Kubota et al., 1993). Conflicting results were reported for the effects of bolus volume variation on the temporal measures of laryngeal movement and pharyngeal response. While Kubota and Logemann (1997) observed significant increases of laryngeal movement duration with increased bolus volume, Denton and Dodds (1998) showed no change of the laryngeal movement duration. Similarly, Black, Logemann, Rademaker, Kubota, and Larsen (1994) reported significant reductions in pharyngeal response time with increased bolus volume while Larsen et al. (1993) observed no difference.

Swallow structure displacements were also reported to be affected with bolus size variations. Indeed, increases in bolus volume were reported to cause increases in laryngeal superior and anterior movements (Dodds, Hess, Cook, Kubota, Stewart, & Kim, 1994) as well as increases in laryngeal chamber dimensions (Kubota, Lee, Chen, &

Laryngeal EMG) and upper esophageal sphincter opening duration (Dantas et al., 1998; Levinshteyn, Kervadik, McKinnon, Gosselink, & Walker, 2000). Further, increased larynx volume was reported by most measured pharyngeal constriction (Levinson et al., 2000).

Results of sEMG studies of the effects of larynx volume increases on swallow muscle activation showed increased amplitudes and duration of submental (trapezius) and pharyngeal recordings with increased larynx volume (Dantas & Dodds, 1993).

However, in a recent study utilizing contact electromyography, Parkman and colleagues found no change among time recordings of muscle activation during swallowing of different larynx volumes (Parkman, Palmer, McCulloch, & Vandebrink, 1995). Nevertheless, volume-dependent changes were observed for the temporal relationships of muscle activation. Indeed, the interval between the onset of laryngeal muscle activity (pharyngeal and laryngeal) and of pharyngeal muscle firing patterns (superior pharyngeal constrictor onset and oesopharyngeal offset) decreased as larynx volume increased (Parkman et al., 1994). Finally, the effects of larynx volume on swallow-associated breathing pattern was also investigated. Results suggested that although the swallow-respiratory phase relationship was modified by larynx volume, longer apnea periods and swallow-associated respiratory cycle were observed (Jin, Treloar, & Sears, 2001; Parkman, Maynard, Roberts, & Gosselink, 1992).

### **Effects of EPD on Neuroanatomic Function**

The various, sequential pattern of muscle movements required for the completion of the cough and swallow functions might be disrupted significantly with EPD as the neuromuscular control system that controls and coordinates these activities might be affected. The progressive neurodegeneration of the degenerative producing cells in the

substantia nigra, a group of cells in the brainstem, in patients with PD describe the intrinsic balance in the basal ganglia motor circuit. The behavioral manifestations of this disturbance may be explained based on the cascading effects that the defective component may have on disrupting the coherent and balanced interaction within the circuit components as well as their interactions with other neural centers and structures. According to Alexander, DeLong, and Strick (1984), the basal ganglia are subdivided in family of functionally segregated circuits that originate from different cortical areas, projecting to separate areas of the basal ganglia and thalamus. Thalamic efferents in turn, terminate on the same areas of the frontal cortex from which the circuit originated. At least five such basal ganglia-thalamocortical circuits have been identified to run through similar areas of the basal ganglia (i.e., striatum, globus pallidus, and substantia nigra pars reticulata) yet their segregation is maintained throughout these circuitry components. These five basal ganglia circuits include as described by Alexander et al. (1984), motor-executive, dorsolateral prefrontal, frontal orbitofrontal, and anterior cingulate circuits (see Figure 1-2). Of these circuits, the motor circuit has probably received the most attention as it is implicated in different movement (dyssy- and hyperkinesic) disorders including Parkinson's disease.

In its contemporary form, the basal ganglia-thalamocortical motor circuit (see Figure 1-2) takes input from the sensory and motor areas of the cortex and projects to the putamen (the motor part of the striatum). The circuit also includes motor areas in the globus pallidus, substantia nigra, and thalamus before projecting back to the motor cortical areas. Two pathways organize the putamenal output to direct the activity of the



two major outputs of the basal ganglia (i.e., subthalamic nigro-pallidum and globus pallidus pars internal). Differences between the pathways arise from the type of unusual dopaminergic receptors activated (i.e., D1 or D2) and whether or not the pallidum is a pallidopallidal connection is monosynaptic. In the first "direct" pathway, dopaminergic inputs from the subthalamic nigro-pars compacta (SPc) induce excitatory response of the putaminal D1 receptors, whose neurons have monosynaptic GABAergic (gamma aminobutyric acid) inhibitory projections to the globus pallidus pars internal (GPi) and the subthalamic nigro-pars reticulata (SPr). On the other hand, nigro-dopaminergic inputs to the "indirect" pathway induce an inhibitory effect on D2 receptors of putaminal neurons, which project (GABAergic inhibitory) to the internal segment of the globus pallidus (GPi). The GPi has direct (GABAergic inhibitory) and indirect (via the subthalamic nucleus (STN)) projections to the SPr and the SPc. The GPi also projects to the nucleus reticulatus of the thalamus (NR). The connections between the GPi and STN is reciprocal. All pallidal projections are inhibitory and utilize GABAergic neurons. The projections of the STN, on the other hand, are excitatory and utilize glutamergic neurons.

The GPi and SPc project to different areas of the motor thalamus as well as to the midbrain segment and superior colliculus. All of GPi and SPc projections are GABAergic and inhibitory with projections from the GPi supplying the ventral lateral part-ventral (VLv) and ventral anterior (VA) areas of the thalamus which, in turn, project to the supplementary motor area (SMA) and premotor area of the cortex, respectively, to complete the basal ganglia thalamocortical circuit. Projections from the SPc supply the ventral anterior-magnocellular (VAmc) area of the thalamus, which, in turn, projects





in the prefrontal cortex. Both the thalamocortical and corticostriatal projections are glutamatergic and excitatory.

In IPD, dopamine depletion of neurons in the substantia nigra affects the motor circuit output via both the direct and indirect pathways in the GPi and SNr. In the indirect pathway, striatal dopamine depletion results in the excessive inhibition of the GPe. Reduction in the activity of the GPe releases the STN from its inhibition. The STN, in turn, overly excites the GPi/SNr complex, to inhibit the thalamocortical sensory connection. In addition, the GPi and SNr are released from striatal inhibition exerted by the direct pathway and GPe of the indirect pathway. The consequent over-inhibition of thalamocortical and basal ganglia output (a. posteriorly-dependent nucleus and midbrain extrapyramidal area) has been suggested as account for the motor signs associated with IPD (DeLong, 1990; Yase, 1987).

These changes in the motor circuit, therefore, can affect the production of movement necessary for regulation, rough and smooth. In general, IPD can affect movement production by causing difficulties in voluntary programming and execution of movement programs (Rushworth, Rothwell, Thompson, & Haller, 2001), changes in motor unit recruitment and behavior (Klionsky & Smith, 1990), reduction in the net muscular force generated (Correa, Chen, Quana, McKeley, & Rothwell, 1994), and impairment in the coordination within and between groups (Johnson, Rupert, Lee, Lovewell, & Kline, 1991). These resultant changes can have various sequelae for the functions of rough and smooth.

### Cough in patients with IPD

Cough in patients with IPD was investigated by two groups of researchers. Involvement of both the sensory and motor component of coughing was reported. Fontana, Fontana, Laverne, Reinhardt, and Gumpert (1994) used indirect electromyography (EMG) recordings of the abdominal muscles during voluntary and reflexive cough in patients with IPD as compared to controls. In addition, patients collected lever net of one of abdominal EMG activities. They concluded that a central neural mechanism might have caused the used experiments in the process of motor unit recruitment and the increase in frequency discharge. Eklund et al. (2000), conducted, suggested that while patients in the "early" (Rieske & Yahr II, III) stages of IPD exhibit normal impairments in cough production, patients in the "advanced" (Rieske & Yahr IV-V) stages suffer impairments in both the sensory and sensory component. The effect of Parkinsonian medications on cough function was not investigated by either group and seems to be determined.

### Swallowing in patients with IPD

Swallowing disorders (dysphagia) are a known complication of IPD with their prevalence reported to be high in 55% of patients (Cohen, Davis, Lyons, & Stern, 1976; Riecke & Tyne, 1976a; Logemann, Blonsky, & Boles, 1979; Silliger, Pilsbry, & Denes, 1987). Impairments in all phases of the swallowing process (oral preparatory and transit, pharyngeal and esophageal phases) have been reported in patients with IPD (Logemann, 1992). These impairments are usually complex and may be the result of changes in both striated muscles (under dopaminergic controls) and smooth muscles

(under voluntary control) of the elementary vocal (Lachmann, Hirschfeld, Reinhold, Pichler, Lohmann, & Lohmann 1988; Merrill, 1993).

Dysphagia in general may cause the life-threatening condition of aspiration pneumonia. Aspiration pneumonia, a lower respiratory complication, is reported as the leading cause of death in patients with PD (Fernandez & Lopez, 2003; Gendri et al. 1994; Kuster & Tsch 1997; Schwaninger et al., 2001; Shill & Jorg, 1998; Singer, 1992). Reinhold, Lohmann, and Kuchler (1988) elaborated aspiration pneumonia in patients with PD is the impaired muscular control of oral, pharyngeal, and laryngeal structures leading to disorders of oral and pharyngeal phases of swallowing.

The oral preparatory phase of swallowing in patients with PD is reported to be delayed and inefficient with deficient mastication (Rubin, Harburg, Aschmann, Mohr, & Nadelstein, 1989; Reinmann, Bismeyer, Lohr, & Pichmann, 1989; Donner & Sphagis, 1988; Kuster & Tsch 1997b; Kuchner, Pichner, & Schmidt, 1992; Leopold & Kugel, 1996) impaired lingual mobility (Bismeyer, Lohmann, Rubin, & Pichner, 1975; Reinmann et al., 1989; Leopold & Kugel, 1996; Reinhold, Lohmann, & Kuchner, 1988) and slow and limited mandibular excursion (Cohen et al., 1979; Kuchner et al. 1992; Leopold & Kugel, 1996). Leopold and Kugel (1996) also found mastication to be impaired with absence of the normal rotary movements.

The oral control stage of swallowing is also impaired and is characterized as slow (Bismeyer et al., 1975) with repetitive lingual pumping (Schwaninger et al., 1988; Reinhold et al., 1988), tendency to bulking the bolus (Reinmann et al., 1988) and postural dysphagia (Bismeyer et al., 1975; Reinmann et al., 1988; Leopold & Kugel, 1996). Kuchner et al., (1988). Bismeyer et al. (1975) explained the lingual pumping activity as

failed attempts to propel the bolus due to a blockage of the normal bolus trajectory as a result of prolonged posterior tongue elevation. Finally, posterior bolus blockage was also observed in some patients with IPD prior to oral intake initiation (Leopold & Kugel, 1986).

Some compensatory means for the achievement of the pharyngeal stage of swallowing in patients with IPD. A group of researchers, Cohen et al. (1978), reported data on the involvement of this stage. In addition, the findings of Miyaya, Kado, Yamada, and Igata (1998) suggested that the movement dynamics of pharyngeal structures in patients with IPD are comparable to those of elderly control subjects. Nonetheless, most investigators report significant impairments in this stage including delayed contraction/reduced force of contraction, bolus retention or residue in the oropharynx and pyriform area, slow reduced laryngeal elevation, and laryngeal aspiration (Juel, Woodward, Gibson, Hyland, & Finkel, 1994; Buchanan et al., 1995; Leopold & Kugel, 1987; Salikhan et al., 1988). Furthermore, different esophageal positioning and range of motion, macropharygia and pharyngeal constriction dysfunction were all observed in patients with IPD (Leopold & Kugel, 1987).

Esophageal abnormalities are also common among patients with IPD and include sufficient primary esophageal peristalsis resulting in delayed bolus transport and initiation of tertiary contractions (Barnes et al., 1998; Carrell et al., 2004; Leopold & Kugel, 1987). Delayed opening of the lower esophageal sphincter (LES) and gastroesophageal reflux was reported in some patients with IPD (Leopold & Kugel, 1987).

Many underlying mechanisms have been proposed to account for these swallowing difficulties. Difficulties of the velopharyngeal seal stage have been attributed to hypotonia or rigidity of the larynx (Ashley et al., 1948; Linderman et al., 1982; Mossell, 1992), velar (Robinson et al., 1994) and mandibular (Robinson et al., 1992; Leopold & Raper, 1998) musculature. Presence of cellular pathologies (i.e., Lewy bodies) in some brainstem nuclei including the dorsal motor nucleus of the vagus and the nucleus ambiguus formation (Doo-Hwang, Jager & Rothman, 1988; Forno, 1992) was speculated to contribute for impairments in the pharyngeal (Robinson et al., 1994) and esophageal (Katz & Tyron, 1963) stages of swallowing in patients with IPD. In addition, deficits in the way the basal ganglia influence sensory components of the trigeminal system were also suggested for neuroanatomic abnormalities of oropharyngeal swallowing (Linderman & Lohdy, 1979). In essence, the gating effects of the basal ganglia on the trigeminal sensory inputs are under-activated, and sensory signals have limited access to effector motor regions (Schneider, Garwood, & Wadham, 1984). Difficulties of the non-velopharyngeal pharyngeal stage have also been attributed to delayed activation of nuclei in the lower brainstem (Robinson et al., 1994).

### **Interventions for Functional Rehabilitation in Patients with IPD**

Three aspects of IPD are the functions of respiration, cough, and swallow are nervous and warrant the quest for physiologically valid and efficacious interventions. In general, both pharmacological and behavioral interventions can be postulated for the treatment of these functions. Consequently, pharmacological treatments may restore the level of dopamine (DA) in the basal ganglia whereas behavioral interventions attempt to optimize or compensate for the impaired functions.

Pharmacological treatments aim to either enhance (DA agonists) or replace (Levodopa) the declining amounts of DA in the brain of patients with IPD. Other medications aimed to block dopamine metabolism, including monoamine oxidase B (MAO-B) inhibitors, including selegiline (COMT) and monoamine oxidase B (MAO-B) inhibitors, or its re-uptake (amantadine) (Ciliax, 1998; Tolosa & Vallbo, 1994). Several studies investigated the effects that pharmacological treatments have on the features of impairment and swallowing in patients with IPD. To date, however, no studies have investigated the effects of these medications on the required tongue function in patients with IPD.

### **Physiological Mechanisms for Improved Motor System Function with Pharmacological Interventions in IPD**

Antiparkinsonian medications can, conceivably, improve movement production in patients with IPD by improving its programming and execution levels. Execution of voluntary muscular contractions was associated with cortically initiated rhythmic activities (Brown, 1981; McJuley, Rothwell, & Marsden, 1987; Hallett, Conway, Purnell, & Rosenberg, 1996). These rhythmic activities of the motor cortex were found to be reduced in patients with IPD (Brown, 1987; Brown, Holmes, Rothwell, & Mars, 1988). In a recent study, Holmes and colleagues showed that levodopa restored these rhythmic activities in the motor cortex (Holmes, Avila-Man, Escobedo, Mars, & Brown, 2002). Specifically, they showed that levodopa shifts the motor cortex spontaneous activity to a more efficient pattern to recruit motor units. At the programming level, a recent study used electrophysiological and behavioral patterns to demonstrate that administration of antiparkinsonian medications restores the programming related activities in the brain of patients with IPD (Parravano, Piccoli, My, Minamide, Del Monte, Pizzi, Sironi, Maravita, & Amadi, 2006).

### **Effects of Pharmacological Interventions in IPD on Pulmonary Function**

The effects of nonpharmacologic medications on the pulmonary function of patients with IPD are still unclear. Conflicting results document the studies reported thus far. While Chinnou et al. (1972) failed to detect a respiratory function improvement following levodopa treatment, Nakano and colleagues found significant improvement of respiratory function following levodopa administration as compared to placebo (Nakano, Bess, & Tyler, 1973). In addition, Vassilakis, Damsky, and Ciano (1989) reported reversibility of a pattern of UAO following levodopa intake as demonstrated by a sequence of flow-volume curves in a single subject with IPD. Finally, administration of a dopamine agonist (i.e., apomorphine) was reported to result in improvements of respiratory function in patients with IPD (De Brous et al., 1993). Specifically, studies found improvements in peak expiratory flow (PEF; Nakano et al., 1973; Bess, Arnold, & Roussel, 1980), forced expiratory volume in the first second (FEV<sub>1</sub>; Nakano et al., 1973; Bess et al., 1984), forced vital capacity (FVC; Nakano et al., 1973), and total lung capacity (Nakano et al., 1973) following levodopa treatment.

### **Effects of Pharmacological Interventions in IPD on Respiratory Muscle Strength**

The effects of nonpharmacologic medications on the maximum expiratory and inspiratory pressures (MEP and MIP, respectively) of patients with IPD were reported only by two studies. One investigated the effect of levodopa intake (Wheeler et al., 1990), and the other investigated the effect of dopamine agonist (apomorphine) intake (De Brous et al., 1993). While a nonsignificant trend toward an increase of MEP and MIP was found by Wheeler and colleagues following levodopa intake, De Brous and his colleagues found a significant improvement of MEP following apomorphine intake.



## **Effects of Pharmacological Interventions in IPD on Swallow Function**

Malfunctioned swallow involves of the cerebral motor apex of the brain in patients with IPD is not associated with a similar level of the swallowing symptoms. Some anecdotal reports allude to improvement of swallowing abnormalities in a minority of these patients (Cookley & Donner, 1968; Coates, Papavasiliou, & Gelman, 1969; Paulson & Tufano, 1970). Several studies, however, evaluated the effects of levodopa on swallowing abnormalities in patients with IPD. Cohen and colleagues failed to find a positive effect of levodopa on the dysphagia of patients with IPD (Cohen et al., 1978). In contrast, Bushmans and colleagues showed that levodopa improves some aspects of pharyngeal function in 40% of their patients (Bushmans et al., 1980). This may suggest the involvement of non-dopaminergic pathways, in addition to the dopaminergic pathways, in the pathogenesis of swallowing abnormalities observed in patients with IPD.

One of the purposes of this project was to investigate the effects of pharmacological treatment on the respiratory and cough function in patients with IPD. Consistently, improvements in the programming and execution levels of the mechanisms responsible for inspiration and cough are possible following exposure to antiparkinsonian medications. Therefore, whether respiratory and cough performance can be expected after antiparkinsonian medication intake as compared to before their intake.

## **Behavioral Interventions for Functional Rehabilitation in IPD**

Attempts to improve the swallow's component in IPD utilizing behavioral techniques have focused on either enhancing or compensating for the function lost. Accordingly, a symptomatic approach has been adopted for the rehabilitation of patients with IPD with

varying outcomes. For the benefits of respiratory strength and swallow rehabilitation efforts are yet to provide their efficacy.

In general, respiratory function rehabilitation has focused on improving the strength and/or endurance of the muscle function (Köwing & Tansik, 2010). Early respiratory strength training programs in 3–4-day breathing-routines, submaximal muscle exercises, and abdominal weights did not provide an adequate load to the respiratory muscles, resulting in their limitation to show significant gains of their functional outcome. Contemporary respiratory strength-training programs utilize the use of devices that allow targeting of specific muscle groups with challenging loads. These respiratory training devices implement one of two respiratory strength training techniques, resistance or pressure-threshold training. Differences between the two techniques arise from the respiratory parameter they employ. Resistance training uses devices that employ an flow resistance to increase respiratory muscle strength (Buck et al., 1991). Pressure-threshold training, on the other hand, requires the build up of a sufficient lung pressure to overcome the “pressure threshold” necessary to open a adjustable one-way valve. In pressure threshold training, ventilation of the airflow mechanism is not allowed as a stable airflow is required, adding to the specificity of this technique to the respiratory muscles required (Belman, 1993).

Regarding swallowing, no single therapeutic behavioral technique has yet been proven efficacious in eliminating the cohort of swallowing abnormalities in patients with EPD. Rather, swallowing abnormalities are managed on a case-by-case basis with the aim to improve a specific single dysfunctional element in the swallow response (Yorkston, Miller, & Skuse, 2004). Advancements in the current and increasing sensory input was

also suggested to have the potential of facilitating swallowing function in patients with IFD (Yoshida et al., 2004). A recent study, however, investigated the effects of a well-known voice rehabilitation technique, the Lee Silverman Voice Treatment (LSVT®), on improving the swallowing difficulties in patients with IFD (Shawson et al., 2002). Improvements in the neuromuscular control of the oropharyngeal swallowing tract were proposed for the reduction in swallowing sensory disorders observed. The LSVT utilizes the exercise principles of intensity and specificity to improve the activity of targeted muscles. Similarly, these principles are utilized in a respiratory muscle strengthening program targeting the expiratory muscles known as the expiratory muscle strength training (EMST) program.

### **Expiratory Muscle Strength Training (EMST)**

EMST utilizes a pressure threshold device with a constant pressure load to expiration. EMST is a rehabilitative program that is both behavior and physiologically specific, targeting the expiratory muscle group. Some of the expiratory muscles are skeletal muscles (namely the abdominal and internal intercostal muscles) and share the same structural and functional characteristics of the limb skeletal muscles. Structurally, expiratory muscles have similar histochemical properties to that of the limb muscles. Both abdominal and internal intercostal muscles are composed of an approximately equal distribution of type I (slow oxidative) and type II (fast oxidative) muscle fibers, a finding consistent in skeletal limb muscles (Morton, 1993). This structural similarity between expiratory and limb muscles suggests that both muscle groups utilize similar metabolic processes for the production of energy necessary for muscle contraction. Thus, a strength

training program for the expiratory muscles is conceivable. It is sufficient the same principles used to strengthen the limb muscles.

The strength of the diaphragm muscles was found to increase after they are exposed to strength training programs (McArdle, Katch & Katch, 1996; Sale, 1984). Muscle strength training refers to the process of increasing the targeted muscle's performance by increasing its capacity to produce energy as a result of its regular exposure to a physiological load (Powers & Howley, 2001). These changes in muscle performance and capacity are referred to as the training effect and are physiologically mediated as a result of a challenging and specific training program. A physiologically challenging load refers to which the muscle is exercised at more than its habitual level. Such a challenging load can be produced by increasing the intensity, duration, or frequency of the targeted muscle's exercise. In addition to elicit an optimum training effect, the strength training program has to be specific for the targeted muscle and activity (Powers & Howley, 2001). Therefore, the information gained from studying the principles of limb muscle strength training paradigm were used to develop the EBST program for conditioning the expiratory muscles (Chen, Martin, Sapienza, & Bobacz, 2000; Sapienza, 2000; Sapienza, Desjardins & Martin, 2000; Sapienza, Hoffman-Ruddy, Desjardins, Martin & Latman, 2004).

The improvements in strength realized in diaphragm muscles after exposure to a training program are attributed to many underlying physiological mechanisms that vary depending on the period of the conditioning program (Clarke, 1945; Marshall & Davies, 1980; Sale, 1987). Early strength changes are attributed to improvements in muscle recruitment and development of intramuscular muscles and neural adaptations. Neural

adaptations result in rapid strength gain as a result of increased motor unit excitability, enhanced recruitment, and more efficient motor programming. Muscular adaptation is suggested to contribute to the strength improvements occurring at later stages of the strength training program. This muscular adaptation includes domain muscle diameter increases, as verified by CT imaging (Jain, 1987) and alterations in muscle fiber type composition from a fast to a slower fiber type (i.e., IIx to IIa; Adams, 1993). Greater functional gain is achieved with loads of 70-90% of the maximum load for the duration of 30-60 seconds and the frequency of 3-6 times or 3-6 days per week (Coulson, 1984; Glaserma, 1993).

In essence EMST works by increasing the strength of the expiratory muscles that results in the production of a higher expiratory drive and higher expiratory lung pressure. This effect was realized in many populations including healthy individuals (Jain, 1987), "high risk" vocal performers (Rogers et al., 2002), individuals playing brass and wood instruments (Rogers et al., 2002), patients with multiple sclerosis (Chern et al., 2003; Chaudhry, Karam, Kozlar, Carter, & Sherratt, 2000; Swelling, Lammies, & Cook, 1996), and patients with spinal cord injury (Rogers, 2002). In addition to improvements in the physiologic measure of lung pressure, patients of these populations reported a reduction in muscular dyspnea following their treatment. Furthermore, the contraction of the expiratory muscles is important for the production of the high airflow necessary for cough production. Preliminary results from a recent study (Jhalor et al., 2002) provide evidence that cough production can be improved with EMST in healthy adults (ages 18-55 years old).

## Potential Underlying Physiological Mechanisms for the Therapeutic Effects of EMST

Several central and peripheral mechanisms could be postulated to support the potential benefits of EMST to patients with IPD. A respiratory muscle strength training program could help reduce the performance of targeted respiratory muscles by reducing their activation times, reducing the co-contraction of their antagonistic muscles, and improving their strength. Classically, reduced muscle tone was valued in elderly individuals as a muscle atrophy marker (Plot, 1980). In patients with IPD, this could translate in improvements in bronchopulmonary symptoms.

Strength training is generally said to further improve motor performance by reducing the co-contraction of antagonistic muscles. Indeed, Clithero and colleagues found that strength training programs have been effective in reducing the antagonistic co-contraction in young individuals (Clithero, Page, Agreus, Kahaner, & Pankajewski, 1981). This finding suggests that a respiratory strength training program can improve the motor performance of its targeted muscles by reducing the interference effect caused by the co-contraction of antagonistic muscles – a common finding among patients with IPD (Gardemann & Ecker, 1994).

Both respiratory and respiratory muscle performances are significantly reduced in patients with IPD (Wasson et al., 2002). Reports also state that patients with IPD can improve the skeletal muscle strength of their limbs with a strength training program (Goulden, Brook, Barbeau, Nelson, & Wells, 2001). A respiratory muscle strength training program, therefore, could help reduce the strength of targeted respiratory muscles and improve their performance.

Conceivably, two main mechanisms can be postulated to underlie the potential benefits of EMST in patients with IPD. These include the changes of the cortical drive as a result of exercising motor loops subcortically as well as the potential effects of training on maintaining the neuroplasticity mechanisms in the brain.

The effects of exercise on the molecular and functional activities of the healthy brain were documented. At the molecular level, exercise was found to change the neurotransmitter levels in the brain (Hogeweg, Garretts, & Cohen, 1988; Mackinnon, Spivey, Carter, Farrow, & Wilson, 1987; Spivey, Miller, McMahon, & Garman, 1988). Specifically, levels of dopamine in the brain were found to be increased following both acute and chronic levels of exercise. While acute levels of exercise were shown to result in a marked increase of the dopamine level in the striatum (Spivey et al., 1988), chronic exercise was shown to increase levels of dopamine synthesis and release in the whole brain (Hogeweg et al., 1988). Functionally, temporary increases of motor-evoked potential amplitudes during exercise was noted in healthy subjects (Brouillette, Cohen, & Hallett, 1989).

Conceivably, several underlying mechanisms could be postulated for the potential improvements of the specific functions of respiration, cough and swallow as a result of EMST. Increased strength of the respiratory muscles can translate to improvements in maximum-expiratory pressure (MEP). Improvements in MEP as a result of EMST may result in improvements in the expiratory muscle forces activities needed for pulmonary function tests. Specifically, increases in the forced expiratory volume in 1 second (FEV1), the rate of FEV1 or the forced vital capacity (FVC/LFVC), and the peak expiratory flow (PEF) may be observed in patients with IPD following exposure to

EMST. During cough, increases in the maximum expiratory flow rate and the postpeak plateau amplitude and duration may be observed as the expiratory muscle ability for forceful and sustained activation is improved. This improvement can also result in decrease of the compression phase duration. It is hypothesized that this reduction in compression phase duration will result from faster achievement of sufficient airflow for cough production following EMST, thus reducing the time needed to compress the vocal folds within the larynx for the development of cough force. Finally, improvements of the expiratory muscle activity may result in shortening of the cough expiratory phase duration as the expiratory muscles require less air volume to deliver the required air flow rate in the expiratory phase.

Several underlying mechanisms could be hypothesized for the potential improvement in the swallow motor activation as a result of EMST. The increased activation of the lingual and oropharyngeal sensory receptors by the high airflow generated during EMST could result in increased activation of the nucleus tractus solitarius (NTS) and, in turn, the nucleus ambiguus and its associated motor units. The increased activation of these motor units can result in improved activation of the pharyngeal swallow pattern and its musculature. Specifically, this can be observed as improved motor response to afferent information (e.g., larynx) resulting in faster activation of the pharyngeal swallow, better activation of the entire pharyngeal and laryngeal musculature. In essence, this has the potential of reducing the maximum duration of these muscles during swallowing, as well as increasing their displacement.

Another possible underlying mechanism for the potential improvement in swallow function relates the effects of changes in lung volume on upper airway musculature



Specifically, increased laryngeal volume (and during EMST) was reported to cause increased activation of the levator veli palatini muscle (LVP, the main muscle of palatal movement) and the lateral cricothyroid muscle (LCA, a laryngeal adductor muscle) (Kohonen, Kujala, & Mäkelä, 1996). This can have the potential of improving velar elevation. Further, increased activation of the LCA has the potential of improving laryngeal closure during both swallowing and coughing and may result in the possible prevention or reduction of aspiration.

In addition, diaphragm expansion can have the potential of improving the activation of some intrinsic and extrinsic laryngeal muscles. Specifically, Kane and Vassily (1994) showed that forced expiration is associated with increased activation of the laryngeal adductor muscles resulting in better laryngeal closure during both swallowing and coughing and the possible prevention or reduction of aspiration. Furthermore, expiration in general is associated with upward movement of the larynx (Fitch & Demaree, 1973; Kinkaid, 1993). This can have the potential of improving the activation of the supraglottal muscles with possible reduction in laryngeal movement distances during swallowing and possible prevention or reduction of aspiration.

### Purpose

The purpose of this single group study was to investigate the effects of EMST on respiratory muscle strength in patients with moderate IPD (Hortice & Yaffe (2012) as measured by maximum expiratory pressure (MEP). This study also investigated the effects of improved respiratory muscle strength on the preliminary and rough functions in patients with IPD. Further, this study aimed at investigating the effects that EMST would have on swallow function (physiology and swallow-related quality of life) in relation

from OFF medications) pharmacological effects. In addition, this study stratified the effects of pharmacological treatment on the pulmonary and cough functions of patients with PD by comparing their performance during the OFF (24 hours since last anti-Parkinsonian medication intake) and ON (24 hours since last medication intake) medication states.

Measures of cough function included duration of the inspiratory phase (IPD), compression phase (CPD), and post peak plateau (PPPD) as well as the peak of the expiratory flow rate (PEFR) and the post peak plateau amplitude (PPFA). Swallowing measures included selected temporal and displacement measures of swallow physiology, a measure of sensory sensation, and a swallow related-quality of life measure. Temporal measures of swallowing physiology included pharyngeal response time (PRT), liquid swallow (LSWT) and swallow movements (HAMLS) duration, liquid swallow motion response time (LSMRT), total liquid movement duration (TLMCD), and closed oropharyngeal post-duration (CNPD) of 5 and 10ml boluses and the total duration and number of sequential swallows required to clear a bolus that liquid bolus. Displacement measures were related to measure the extent of swallowing-related movement of the liquid bolus. The liquid is generally described to have both sensory and motor movements during swallowing. To measure the extent of these movements, two relatively stable anatomical references were used, the inferior anterior edge of the external auditory meatus (EAM) and the third cervical vertebra (C3). This double-point reference system was developed for this study because it was expected to be more robust than a single point reference system as it has the potential of better evaluating displacements in two phases. It is also expected to be more sensitive to changes in the

magnitude of displacement in these planes. The LAM is at a better anatomical position than C3 to measure superior changes in larynx movement (Figure 1-4), whereas C3 is at a better anatomical position than the LAM to measure changes of anterior larynx movement (Figure 1-5). Therefore, the ratio of the distance from the LAM to the larynx at maximum elevation to the distance to the resting larynx, the larynx elevation displacement ratio (LEDR), was utilized to measure superior displacement of the larynx. In addition, the ratio of the distance from C3 to the larynx at maximum elevation to the distance when the larynx is at its maximum anterior position during swallowing, the larynx anterior motion displacement ratio (LAMDAR), was utilized to measure anterior larynx displacement during 5 and 10 sec swallows.

A poststroke depression (P-A) scale (Rosenbick, Robbins, Brucker, Doyle, & Wood, 1996) was utilized to measure relative extent of the activity during the swallow. Swallow-related quality of life was measured using the Swallowing Quality of Life Questionnaire (SWAL-QOL; McIlvenny, Bricker, Kramer, Rosenbick, Robbins, Chapell, Logemann, & Clark, 2000; McIlvenny, Bricker, Robbins, Kramer, Rosenbick, & Chapell, 2000).

Figure 1-4. Hybrid Elevation Displacement Ratio (HEDR)

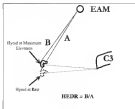
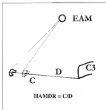


Figure 4-5 Hyraul Actuator Motion Displacement: Base (HAMDB)



## Hypothesis

### Hypothesis 1

The maximal strength of the expiratory muscles can be increased in patients with IPD when exposed to a short-term respiratory training program. Increased strength of the expiratory muscles will translate to improvements in maximum expiratory pressure (MEP). Higher measures of MEP will be observed during measurements made in the middle of the outpatient clinic medication cycle (ON Medication) as compared to measurements made at the end of the outpatient clinic medication cycle (OFF Medication).

### Hypothesis 2

Improvements in MEP as a result of EMST will translate to improvements in pulmonary function tests. Specifically, increases in the forced expiratory volume in 1 second (FEV1), the ratio of FEV1 to the forced vital capacity (FEV1/FVC), and the maximum expiratory flow (MEF) will be observed in patients with IPD following exposure to EMST. Higher measures of pulmonary function will be observed during the ON medication state as compared to measurements made during the OFF medication state.

### Hypothesis 3

Improvements in MEP as a result of EMST in patients with IPD will translate to increases in the peak expiratory flow rate, post-peak pleural expiratory and duration. In addition, decreases of the inspiratory and compliance phase duration during voluntary cough production will be observed. Greater measures of cough function will be observed

during the ON medication state as compared to measurements made during the OFF medication state.

#### **Hypothesis 4**

Improvements in respiratory flow rate in patients with IPD as a result of exposure to EMIT will translate to improved motor function of the pharyngeal phase of swallowing. Specifically, patients will have reduced PRT, HED, HAMPT, HAMDI, THMDI, and CYPD, and increased HEDIR and HAMDIR during swallowing of 3 and 10m thick liquid and pudding boluses following exposure to EMIT. In addition, the total number and duration of too thin liquid sequential swallows will be reduced. The average P & R score during and then liquid and pudding swallows will be reduced as a better closure of the laryngeal opening will be expected following exposure to EMIT. These changes of swallow function will translate to improvements in swallow-related quality of life, as measured by the SWAL-QOL.

Brain increasing bolus size as having a thicker consistency is associated with longer swallow movement duration and greater liquid movement displacements with increased demand on forced velocity of the swallow muscles a worse baseline measurement, hypothesized for 10c and pudding boluses. However, these boluses are also hypothesized to have the biggest improvements after exposure to the EMIT rehabilitative program.

The swallow function will only be evaluated during the OFF medication state as anticholinergic medications consistently failed to induce improvements in swallow function in previous studies (Buckhouse et al., 1999; Cohen et al., 1993). The OFF

metabolic state was chosen because it best represents the physiological changes caused by the disease process of T2D.



## CHAPTER 1 METHODOLOGY

### **Experimental Design**

The design of this project was a prospective repeated measures design. The independent variables included ventilation mode (DT/PCV), gender, and location (Pre-1/ Pre-2/Post-recovery). The dependent variables were MEP, FEV1, FEV1/FVC, MEP-IPD, CPD, HSP, HSPD, and PAPA. Dependent measures for examining swallow function included PRE, HBD, HAMMID, ESAMID, THMD, CVPD, P-A score, HEDR, and ESAMID-B measured for swallowing of 100 and 10 boluses of thin liquid and pudding, respectively, as well as total duration, average P-A scores, and number of 100 thin liquid sequential swallows. In addition, the patient perception of swallowing difficulties was measured with the total scores of the SPWAL-QOL. For swallowing measures, two other independent variables were included: bolus size (50cc, 100cc) and consistency (thin liquid, pudding).

### **Sample Size Determination**

As suggested by Macho (2008), sample size calculations were carried out. Calculations utilized maximum respiratory pressure (MEP) as the primary dependent variable. The standard deviation of MEP in was determined from the range of MEP measurements obtained from the current study. The range was 111.3, yielding a  $n$  of 17.4. The smallest clinically significant difference, or based on error, between the average of MEP scores before ESMT and their average after ESMT was determined to be 25% of

the average function MDP. Since the mean MDP was measured at 181.3, the lowest no error (E) value was calculated and yielded a 25.5 minimum significant difference. Using the obtained values of E and  $\sigma$ , a value for DELTA can be calculated. DELTA is determined using the formula  $DELTA = E/\sigma$ . Using that formula, DELTA was determined as 0.932. As the significance level ( $\alpha$ ) and power of the test were predetermined as 0.05 and 80% respectively, a sample size determination could be carried out. Using the table provided by Moris (2008), the number of participants needed for this study was ten. Therefore, 10 participants were recruited for this study.

#### **Recruitment and Selection**

As approval to conduct this study was obtained from the University of Florida Health Science Center Institutional Review Board was obtained (IRB# 154-2003). Participants were recruited from patients visiting the University of Florida Movement Disorders Clinic in Gainesville, Florida.

#### **Inclusion Criteria**

Participants were included in this study if they meet the following criteria:

1. Age between 20 and 70 years
2. Diagnosis of tremor predominant idiopathic Parkinson's disease by a movement disorders neurologist
3. Moderate clinical disability level (U-UPDRS, Hoehn & Yahr, 1967)

#### **Exclusion Criteria**

Participants were excluded from this study if they reported: intoxicated, or had a positive history of

1. Other neurological disorders

2. Gastrointestinal status.
3. Gastro-esophageal surgery
4. Head and neck cancer
5. History of breathing disorders or diseases (e.g., Asthma, chronic obstructive pulmonary disease (COPD))
6. Uncontrolled hypertension
7. Heart disease
8. History of smoking in the last five years
9. Failing the screening test of pulmonary function (e.g., FEV1/FVC < 70%)
10. Difficulty in complying to the training protocol due to neuropsychological dysfunction (e.g., stress dependence)

### Measures

This study included a 7-week experimental protocol for each participant. Measures of respiration, cough, and swallowing were obtained prior to and after completion of EMST. The first two weeks and the last week of the protocol were devoted to baseline data-collection sessions. Two baseline data-collection sessions were conducted prior to starting the EMST and one session after. During each of these baseline data-collection sessions, measures of respiration and cough were obtained twice, once while the participants were during the end of their drug cycle (OFF, 12 hours post last drug intake) and once while the participants were in the middle of their drug cycle (ON, 60 minutes after drug intake). Swallowing measures, however, were obtained only twice for each participant throughout the protocol, once during the second baseline pre-EMST OFF medication state and once during the post-treatment OFF medication state. The swallow

function tests only evaluated during the OFF medication state as anticholinergic medications have failed to consistently induce improvements in swallow function in previous studies (Buchanan et al., 1985; Cohen et al., 1979). The OFF medication state was chosen because it best represents the physiological changes caused by the disease process of IPD.

During each baseline session, the general motor function of the participant was assessed using the United Parkinson's Disease Rating Scale (UPDRS II) administered and video-recorded by a clinician trained by a movement disorders neurologist. Participants were examined twice during each baseline: once OFF medication and once ON medication. These videotaped motor examinations were later processed at random to, and scored by, a movement disorders neurologist who was blinded to the participant, timing phase and medication status. Rigidity, however, was assessed by the examining clinician since its timing is based on the clinician's tactile perception of the participant's muscle tone.

Random presentation of general motor, respiratory and cough function tasks was continued for all participants to control for the effects of participant fatigue on these functions.

### **Pulmonary Measures**

1. **Maximum Respiratory Pressure:** Respiratory muscle strength was measured as the maximum-respiratory pressure (MRP) at the mouth. This measurement provides an indirect measure of the respiratory muscle strength. The measurement apparatus consisted of a mouthpiece connected to a pressure transducer (PLATE 311-000) by 30 cm of 2 mm x 4 tubing with a 1/4-gauge needle air leak. In order to measure MRP, the participant stood with his or her arms extended with a nose

clip. After inhaling to total lung capacity, the participants placed his or her lips around the mouthpiece and blew out as forcefully as possible. Repeated measures were taken with a one to two minute rest between trials until three measurements are obtained within 1% of each other. The average of these three values was recorded.

2. **Forced Vital Capacity (FVC), Forced Expiratory Volume in one second (FEV<sub>1</sub>), and maximum expiratory flow rate (MEF)** the participants were asked to breathe in to their total lung capacity. The participants were then asked to blow out as forcefully as possible into a computerized spirometer (Spiromaster II, Pulmonary of America). FEV<sub>1</sub> is a measure of expiratory volume of the first second of expiration during the forced vital capacity maneuver.

### Cough Measures

Cough measures were acquired from an airflow waveform obtained during a voluntary cough. The expiratory airflow waveforms were collected using a mouthpiece attached to a non-leaked pneumotachograph (Flow-Sense) with 20-cm of plastic tubing (Clear diameter). A differential pressure transducer (ML-140) with a pressure sensing range of  $\pm 12.5$  cm H<sub>2</sub>O was attached to the pneumotachograph. This attachment was fixed directly into the PowerLab/4s data acquisition system (ADInstruments, ML1100). Calculations of spirometric measures were provided by a software from ADInstruments (Chart 4 for Windows from ADInstruments). Prior to collection of all cough measures, the pneumotachograph was calibrated by injecting a known volume of air using a calibrated syringe. A calibration routine within Chart 4 for Windows software

(ADInstruments) was used to calculate volume and flow. All cough signals were recorded using the Chart 4 software.

To obtain an acceptable cough signal, the participants were asked with their mouth occluded by a nose clip. The participant placed his/her lips around the mouthpiece and inhaled fully then produced three 'strong' voluntary coughs over the pneumotachograph with a 30 second break between them. Measurements of cough were made using the Chart 4 software.

For each airflow waveform produced, five measurements were made (see Figure 1). *T<sub>i</sub>*, inspiratory phase duration (IPD), compressive phase duration (CPD), peak expiratory flow rate (PEFR), post peak phase duration (PPPD), and post peak phase amplitude (PPPA). IPD was defined as the time from the beginning to the end of the inspiratory phase marked by departing and arriving of the airflow to 0 L/s, respectively. PEFR (L/s) was defined as the peak flow rate (following an inspiratory period) measured from the expiratory flow waveform during a voluntary cough. CPD (s, minutes) on the other hand, was defined as the time from the end of the inspiratory phase to the beginning of the expiratory phase. PPPD was defined as the time of sustained airflow that occurred after the peak expiratory flow. This was subjectively determined by observing the expiratory flow waveform. The cessation point for PPPD was marked as the time the flow became stable after the descent from its peak, while PPPD termination was marked as the final time point where the measured stable flow ended prior to another descent in the expiratory flow rate. PPPA was defined as the amplitude of the phase measured by the use of least fit of 6 randomly selected points to represent the amplitude.

## Swallowing Measures

Swallowing measures were obtained from recordings of participants completing a Videofluoroscopic Swallowing Examination (VFSE). The VFSE included videotaping the subject under radiologic supervision (Shands Hospital at the University of Florida) using standard fluoroscopy systems with remote monitor and a standard lateral view of the oropharynx. The lateral view allowed visualization of all cranial and antihyaryngeal structures including jaw, lips, tongue, soft palate, larynx and pharynx. Each examination consisted of two trials swallowing 5cc and 10cc boluses of thick liquid barium (Liquipal G-2-Fluor Barium Sulfate Suspension, 50% w/v, 44 % w/v, Targis Veterinary, 8 drops/ml of 30 cc<sup>-1</sup> = 4 cps, from 0-2-4M) and kumquat pudding (Hankin Pudding, Targis Veterinary 8 drops/ml of 30 cc<sup>-1</sup> = 6000 cps, from 0-0-4M) in addition to one, 2 or three liquid barium swallow presented at random.

The video recording obtained in the VFSE were digitized using the Digital Serrator Workstation from KAY Densitrics. The digitized recordings were analyzed in real time and frame by-frame to obtain accurate temporal measurements. Measures of swallowing function included:

- For 5 and 10cc swallows (1 trial)
  - a. Postcricoid aspiration score: The count of each swallow on an 8 point Postcricoid Aspiration Scale (Rosenbark et al., 1994)
  - b. Pharyngeal response time (PRT): time (in msec) from the bolus passing the base of the tongue to the onset of larynx elevation, indicating the onset of the pharyngeal phase of swallowing.
  - c. Larynx displacement duration

- Hybrid elevation duration (HED) time (in msec) from onset of hybrid elevation to the point it reaches its maximal elevation (supra-orbital movement).
  - Hybrid anterior motion response time (HAMRT) time (in msec) from hybrid reaching maximum elevation to the onset of its anterior movement.
  - Hybrid anterior motion duration (HAMD) time (in msec) from onset of hybrid anterior movement to the point it reaches maximal anterior movement.
  - Total hybrid movement duration (HMD) time from onset of hybrid elevation to its return to the resting position.
- ii) Hybrid displacement measures
- Hybrid elevation displacement ratio (HEDR) the ratio of the distance from the reference anterior edge of the EAB to the most anterior point of the anterior edge of the hybrid at maximum elevation to that distance in the resting hybrid.
  - Hybrid anterior motion displacement ratio (HAMDR) the ratio of the distance from the reference anterior edge of C3 to the most anterior point of the anterior edge of the hybrid at maximum elevation to that distance when the hybrid is at its maximum anterior position during swallowing.
- iii) Velocimetry
- Closed velopharyngeal port duration (CVPD) time (in msec) of velar contact with the posterior pharyngeal wall



- For *30s* that *leaked* swallow:
  - Penetration-aspiration score: The score of each swallow on an 8-point Penetration-Aspiration Scale (Bosveld et al., 1996)
  - Total duration of *30s* swallow (one (or more) from onset of bolus head posterior movement until bolus exit passes through UES into esophagus)
  - Number of swallows required to clear a *30s* that *leaked*
- Swallowing Quality-of-Life Measure: Participants were asked to complete the Swallowing Quality-of-Life Questionnaire (SWAL-QOL). This newly standardized psychometric measure of swallowing-disorder related quality of life was developed from the patient's point of view (Appendix A). SWAL-QOL is a 48-item swallowing-specific quality of life measure sampling 19 domains of quality of life. It is sensitive to differences in severity of dysphagia and response to treatment. It provides the only reliable standardized psychometric measure of dysphagia related QOL status.

### Training Protocol

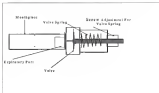
After completion of the two baseline measures listed above, each participant was provided with an expiratory pressure threshold trainer. The expiratory pressure threshold trainer (Figure 1) consists of plexiglass tube with a variable tension spring controlling a “pop-off” valve. The expiratory pressure threshold trainer provides a consistent pressure load on expiration. Participants must overcome a threshold load by generating an expiratory pressure sufficient to open the expiratory spring-loaded valve. The spring contained in the device is adjustable to allow for the required pressure threshold to be increased.

The respiratory pressure threshold-training device is a modification of a commercially available device manufactured by HealthStorm, Inc. The modification of the device allows the pressure threshold to be set up to 150 cmH<sub>2</sub>O. The original device only allows pressure threshold settings up to 30 cmH<sub>2</sub>O. The higher pressure threshold settings are necessary to allow the threshold to be set at the challenging level of 75% of the participant's mLEP.

The training protocol for each participant lasted four weeks and consisted of five sets of five breaths, five days per week with the pressure threshold set at 75% of the participant's mLEP. To ensure compliance with the training protocol, the critical training training between the clinician and each participant included training together on proper device loading procedures, appropriate label placement around the device's mouthpiece and air leak, pre-assess techniques. To prevent possible air leaks, the participant was trained to place leather lips tightly around the device's mouthpiece while one of leather hand-held leather checks firmly to prevent pocketing of air into the buccal cavity. The participant was also instructed to blow as forcefully as possible into the device's mouthpiece from total lung capacity (TLC). The participant was trained to correctly identify maximum-value opening, which by design, producing a distinct audible sound as air passes through the device following the release of the valve.

During the home-based training, the participants independently followed the instructions given to them to complete the training sets. To ensure a record of the participants' compliance, they were required to mark the completion of training sets on a log sheet (Appendix B) each week. In addition, a randomly phone contact was maintained with each participant to ensure their compliance with the guidelines of the

Figure 2-4: A schematic drawing of the respiratory pressure-threshold training device



## training protocol

A weekly individualized meeting was maintained with each participant. At that meeting, the participant's MEP was measured in the same manner described earlier, and the average value was used in the dataset. The previous threshold of the training device was then adjusted according to the newly measured average MEP value. To ensure that the new level was achievable and appropriate, the participant was required to complete the first training set for that day while the clinician was in attendance. Any concerns the participant had regarding the training program were addressed at that time.

Training and individualized meetings were held at a consistent time (approximately 88 minutes after medication intake) in the participants' regular medication cycle. This was done to control for any possible interference that the pharmacological treatment might have had on the respiratory muscle strength measurements.

## Compliance

Participants were provided with written (Appendix B) and verbal instructions for the completion of the training protocol. They marked the completion of training sets on a log sheet (Appendix C) each week.

## Statistical Analysis

Three multivariate repeated measures analysis of variance (MANOVA) and three repeated measures analysis of variance (ANOVA) were used to evaluate the effects of EMST on respiratory muscle strength, cough, swallow, pulmonary function, total UPDRS-M, and total IPFAL-QOL scores. Significant differences at  $\alpha = .05$  depicted in any of the MANOVA's were further explored using univariate components of specific outcome variables. All analyses were carried out using SAS software, version 3.2.

In addition, the relationship between the change in MGP and other respiratory, rough and swallow function were investigated using tests of correlation. Further, inter- and intra-rater reliability were carried out on approximately 10% of the database. A different examiner re-analysed the data, providing the data needed to test for inter judge reliability of dependent variables. To compare the results between examiners, paired sample *t*-tests and Pearson's tests of correlation were used. The author repeated the analysis of 10% of the data sets to test for intra-rater reliability. Again, paired sample *t*-tests and Pearson's tests of correlation were used to test for differences among the values obtained.

## CHAPTER 3 RESULTS

Two participants were involved in this study. Their demographic information is summarized in Table 3-1. Four of these participants were females and six were males. Their age ranged from 34 to 69 years old (female mean = 53.50, SD = 2.85; male mean = 59.67, SD = 4.76). The average female clinical severity as determined by the modified Hoehn and Yahr clinical severity scale was 1.40 with a standard deviation of 0.21, whereas that average for males was 2.38 with a standard deviation of 0.48.

### Maximum Expiratory Pressure (MEP)

A three-way repeated measures analysis of variance (ANOVA) was used to analyze the results of MEP as affected by gender (male, female), medication status (OFF, ON), and baseline (first baseline pre-treatment, second/baseline pre-treatment, post-treatment baseline). Results of this ANOVA, presented in Table 3-2, revealed no significant three-way interaction between these three factors ( $F_{1,12} = 0.11, p = 0.732$ ). Consequently, the two-way interactions were examined, and they revealed no significant interaction between the factors of gender and medication status ( $F_{1,12} = 0.06, p = 0.819$ ) or between the factors of medication status and baseline ( $F_{1,12} = 0.99, p = 0.377$ ). A significant two-way interaction was found, however, between the factors of gender and baseline ( $F_{1,12} = 3.78, p = 0.026$ ). No significant difference was found in MEP between the OFF (mean = 108.50) and ON (mean = 108.19) levels of the status effect of medication status ( $F_{1,12} = 0.17, p = 0.680$ ).

Table 3.3: Demographic information for participants included in the study

Participant	Gender	Age (yrs)	M&F
1	M	58	2.5
2	F	52	2.5
3	M	57	3
4	M	60	2
5	F	60	3
6	F	54	2.5
7	M	68	3
8	M	54	3
9	F	58	2.5
10	M	64	3
Mean: Mean		57.67	2.58
Mean: SD		4.76	0.49
Female: Mean		57.20	2.63
Female: SD		3.88	0.39

Table 3.2: Results of the repeated measures ANOVA for MRP

Source	df	Type III Sum of Squares	Mean Square	F value	P value
Model	19	234071.679 <sup>a</sup>	12321.141	49.37	<0.001
Intercept	1	49.375	49.375	0.17	0.682
Baseline	2	11290.444	12655.937	49.85	<0.001
Gender	1	55521.949	55521.949	180.10	<0.001
Medication x Baseline	2	243.098	263.299	0.98	0.377
Gender x Medication	1	118.421	118.421	0.46	0.633
Gender x Baseline	2	2841.906	1420.953	5.73	0.004 <sup>a</sup>
Gender x Medication x Baseline	2	180.112	90.056	0.34	0.713
Error	130	26615.041	204.731		
Corrected Total	149	260186.721			

<sup>a</sup> indicates that the main difference is significant at  $\alpha = 0.05$



The significant two-way interaction between gender and baseline was submitted to further analyses with simple effect tests. Results of these tests, presented in Table 3.3 and depicted in Figure 3.1, revealed that the MBPs measured for each gender were not significantly different during the two pre-treatment baselines. Namely, MBPs measured for females during the first pre-treatment baseline ( $MBP_{1,female}$  mean = 71.46) were not significantly different from MBPs measured for them during the second pre-treatment baseline ( $MBP_{2,female}$  mean = 81.34,  $p = 0.833$ ), and MBPs measured for males during the first pre-treatment baseline ( $MBP_{1,male}$  mean = 118.29) were not significantly different from MBPs measured for them during the second pre-treatment baseline ( $MBP_{2,male}$  mean = 105.69,  $p = 0.366$ ). Additionally, MBPs measured for females during the post-treatment baseline ( $MBP_{3,female}$  mean = 80.18) were not significantly different from MBPs measured for males during any of the pre-treatment baselines ( $MBP_{1,male}$  mean = 118.29,  $p = 0.366$ ;  $MBP_{2,male}$  mean = 105.69,  $p = 0.366$ ). On the other hand, MBPs measured for males during the post-treatment baseline ( $MBP_{3,male}$  mean = 144.58) were significantly higher than any MBPs measured for either sex during any baseline. Furthermore, MBPs measured for females during the post-treatment baseline were significantly higher than those measured for them during any of the pre-treatment baselines ( $MBP_{1,female}$  mean = 71.46,  $p = 0.043$ ;  $MBP_{2,female}$  mean = 81.34,  $p = 0.008$ ). Finally, for any of the pre-treatment baselines, MBPs measured for males were significantly higher than those measured for females.

Table 3.3: Bonferroni Tukey sample effect tests exploring the effects of Gender and Baseline on MBP

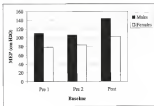
Independent Variable Combination		Mean (G)	Mean (H)	Mean Difference (G-H)	Std. Error	P value
(G)	(H)	(G)	(H)	(G-H)		
1 F, Post	2 F, Pre 1	102.18	78.68	23.47	1.954	0.0002*
	2 F, Pre 2		82.54	19.76	1.857	0.0002*
	4 M, Post		146.59	-44.5	1.754	<0.0001*
	5 M, Pre 1		119.29	-17.11	2.806	0.076
	6 M, Pre 2		100.67	2.53	1.748	0.661
3 F, Pre 1	2 F, Pre 2	78.68	82.54	-3.87	1.857	0.043
	4 M, Post		146.59	-67.9	2.023	<0.0001*
	5 M, Pre 1		119.29	-40.6	1.755	0.0001*
	6 M, Pre 2		100.69	-21.88	2.358	0.0001*
5 F, Pre 2	4 M, Post	82.57	146.59	-64.02	0.973	<0.0001*
	5 M, Pre 1		119.29	-36.72	2.030	0.0001*
	6 M, Pre 2		100.69	-18.09	4.730	<0.0001*
6 M, Post	5 M, Pre 1	146.59	119.29	27.30	0.863	<0.0001*
	6 M, Pre 2		100.69	45.9	0.832	<0.0001*
7 M, Pre 1	6 M, Pre 2	119.29	100.69	18.6	4.851	0.001

\* indicates that the mean difference is significant at  $\alpha=0.05$

F = Females

M = Males

Figure 3.1- The effects of Gender and Baseline on HBP



### Preliminary Functions

A three-way multivariate analysis of variance (MANOVA) was conducted to determine the effects of gender, baseline, and medication status on the three dependent variables of PEV1, PEV1bPVC, and MED. MANOVA results (presented in Table 3-4) revealed that the three-way interaction between the factors was not significant at  $\alpha = 0.05$  (Wilks'  $\lambda = 0.949$ ,  $F_{3,128} = 0.35$ ,  $p = 0.80$ ). The two-way interaction between factors was then examined and revealed nonsignificance for the interactions between gender and baseline (Wilks'  $\lambda = 0.936$ ,  $F_{3,128} = 0.40$ ,  $p = 0.32$ ), or medication status and baseline (Wilks'  $\lambda = 0.928$ ,  $F_{3,128} = 1.48$ ,  $p = 0.12$ ). However, a significant one was found for the interaction between gender and medication status (Wilks'  $\lambda = 0.811$ ,  $F_{3,128} = 3.05$ ,  $p = 0.01$ ). Therefore, the main effect of baseline was examined and revealed that baseline significantly affect the correlated dependent variables of PEV1, PEV1bPVC, and MED (Wilks'  $\lambda = 0.505$ ,  $F_{3,128} = 11.23$ ,  $p < 0.001$ ). Univariate ANOVAs and Tukey's post hoc tests were conducted as follow up tests. Prior to examining the ANOVA results, the alpha level was adjusted using the Bonferroni adjustment to construct the potential of an inflated error rate due to the multiple uses of ANOVAs (Marascuio & Vinson, 2001). Consequently, the overall  $\alpha$ -level was divided by the number of dependent variables ( $\alpha = .05/3$ ) to achieve the adjusted  $\alpha$ -level. Accordingly, the adjusted  $\alpha$ -level was determined to be  $0.017 = 0.05/3$ . Univariate ANOVA results (presented in Table 3-5) reveal no significant interaction between the factors of gender and medication status on any of the dependent variables of PEV1 ( $F_{1,128} = 1.81$ ,  $p = 0.179$ ), PEV1bPVC ( $F_{1,128} = 2.32$ ,  $p = 0.128$ ), or MED ( $F_{1,128} = 1.40$ ,  $p = 0.239$ ). ANOVA results also indicate that PEV1 significantly differs for gender ( $F_{1,128} = 380.95$ ,  $p < 0.001$ ) and baseline ( $F_{1,128} = 9.24$ ,  $p$

Table 3-4 MANOVA results of the effects of Gender, Baseline, and Medication status on pulmonary function.

Effect	Sum of Squares	Value	F value	Hypothesis DF	Error DF	F value
Medication	75134.5	0.004	1.95	3	114	0.125
Baseline	75134.5	0.020	11.35	4	114	<0.001*
Gender	75134.5	0.004	1.95	3	4	0.144
Medication x Baseline	75134.5	0.000	1.44	4	114	0.147
Gender x Medication	75134.5	0.011	7.85	3	114	0.001*
Gender x Baseline	75134.5	0.010	6.60	4	114	0.000
Gender x Medication x Baseline	75134.5	0.000	1.35	4	114	0.263

\* indicates that the main difference is significant at  $\alpha = 0.05$

Table 3-5: Univariate ANOVAs summary table for pulmonary function dependent variables.

Source	Dependent Variable	df	Type III SS	Mean Square	F value	P value
Model	FEV1/MVC	28	1711.7970	60.812	10.34	<0.001
	FVC	28	42.643	1.523	46.21	<0.001
	MIP	28	647.820	23.136	129.86	<0.001
Medication	FEV1/MVC	1	42.933	42.933	0.71	0.39
	FVC	1	0.000	0.000	0.00	0.96
	MIP	1	0.003	0.003	0.00	0.96
Baseline	FEV1/MVC	2	305.941	152.971	5.04	0.005
	FVC	2	0.004	0.002	9.24	0.001*
	MIP	2	12.403	6.202	45.35	<0.001*
Gender	FEV1/MVC	1	3.002	3.002	0.09	0.73
	FVC	1	15.223	15.223	560.46	<0.001*
	MIP	1	129.124	129.124	855.64	<0.001*
Medication x Baseline	FEV1/MVC	2	179.328	89.664	1.32	0.27
	FVC	2	0.123	0.062	1.48	0.11
	MIP	2	1.152	0.576	2.15	0.10
Gender x Medication	FEV1/MVC	1	180.446	180.446	2.73	0.10
	FVC	1	0.121	0.121	1.42	0.23
	MIP	1	0.947	0.947	1.48	0.23
Gender x Baseline	FEV1/MVC	2	311.533	155.817	2.41	0.09
	FVC	2	0.186	0.093	1.76	0.17
	MIP	2	1.143	0.582	2.71	0.14
Gender x Medication x Baseline	FEV1/MVC	2	41.202	20.601	0.33	0.72
	FVC	2	0.007	0.003	0.16	0.84
	MIP	2	0.628	0.314	1.28	0.29
Error	FEV1/MVC	120	1748.281	14.569		
	FVC	120	4.723	0.039		
	MIP	120	31.223	0.260		
Corrected Total	FEV1/MVC	148	3041.730			
	FVC	128	76.722			
	MIP	130	879.436			

\* indicates that the mean difference is significant ( $\alpha = 0.05$ )

$p < 0.001$ ), but not for medication status ( $F_{(1,120)} = 0.75, p = 0.389$ ). Similarly, MEF significantly differs for gender ( $F_{(1,120)} = 585.64, p < 0.001$ ) and baseline ( $F_{(1,120)} = 43.19, p < 0.001$ ), but not for medication status ( $F_{(1,120)} = 0.04, p = 0.836$ ). Moreover, the PNT/MPAC ratio does not significantly differ for gender ( $F_{(1,120)} = 0.04, p = 0.773$ ), medication status ( $F_{(1,120)} = 0.93, p = 0.333$ ), or baseline ( $F_{(1,120)} = 3.48, p = 0.063$ ). Tukey's post hoc results for gender presented in Table 3-6 indicate that male participants have significantly higher PNT (PNT<sub>male</sub> mean = 2.83,  $p < 0.001$ ) and MEF (MEF<sub>male</sub> mean = 4.04,  $p < 0.001$ ) scores than females do (PNT<sub>female</sub> mean = 2.01, MEF<sub>female</sub> mean = 3.85), see Figure 3-2 and 3-3. Post-hoc results for PENT and baseline, presented in Table 3-3, indicate no significant difference between PENT measurements taken during the two pre-treatment baseline assessments ( $p = 0.062$ ). These results further indicate a significant difference between PENT measured during the post-treatment baseline (PENT<sub>baseline</sub> mean = 2.37) and that measured during top of the pre-treatment baseline (PENT<sub>baseline</sub> mean = 2.17,  $p = 0.003$ ; PENT<sub>baseline</sub> mean = 2.38,  $p < 0.001$ ), see Figure 3-4. In contrast, post hoc results for MEF and baseline, also presented in Table 3-3, indicate significant differences among MEF measurements taken during the three baselines, see Figure 3-5. For the pre-treatment baseline, MEFs measured during the second baseline (MEF<sub>baseline</sub> mean = 4.914) were significantly higher than those measured during the first baseline (MEF<sub>baseline</sub> mean = 4.43,  $p = 0.004$ ). On the other hand, MEFs measured during the post-treatment baseline (MEF<sub>baseline</sub> mean = 5.33) were significantly higher than those measured during the first ( $p < 0.001$ ) or the second ( $p < 0.001$ ) pre-treatment baseline.

Table 3-6: Post-hoc tests for PEV1 and MEF by Gender

Dependent Variable	Independent Variable Combinations		Mean	Mean	Mean Difference	Std. Error	P value
	(I)	(J)	(I)	(J)	(I-J)		
PEV1	F	M	2.008	2.033	-.025	0.081	>0.001*
MEF	F	M	2.008	2.064	-.056	0.118	>0.001*

Table 3-7: Post-hoc tests for PEV1 and MEF by Timeline

Dependent Variable	Independent Variable Combinations		Mean	Mean	Mean Difference	Std. Error	P value
	(I)	(J)	(I)	(J)	(I-J)		
PEV1	Post	Pre 1	2.279	2.276	0.003	0.088	0.000*
	Post	Pre 2	2.278	2.063	0.216	0.118	<0.001*
	Pre 1	Pre 2	2.279	2.263	0.015	0.083	0.000*
MEF	Post	Pre 1	4.000	4.049	-.049	0.188	<0.001*
	Post	Pre 2	4.000	4.034	-.034	0.178	<0.001*
	Pre 1	Pre 2	4.000	4.071	-.071	0.188	0.000*

\* indicates that the mean difference is significant at  $\alpha = 0.001$



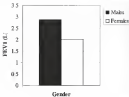
Figure 3-3 Effect of Gender on FEV<sub>1</sub>

Figure 3-3 Effect of Gender on MRP

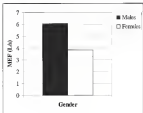


Figure 3-4 Mean (FCV) values at different timepoints.

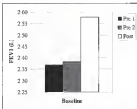
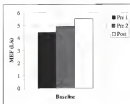


Figure 3-5: Mean INUP values at different Baselines



### Cough Function

A MANOVA was conducted to determine the effects of gender, baseline, and medication status on the dependent variables of inspiratory phase duration (IPD), compression phase duration (CPD), peak expiratory flow rate (PEFR), post-peak plateau duration (PTPD), and post-peak plateau amplitude (PTPA). MANOVA results, presented in Table 3-8, revealed that the three-way interaction among the factors was significant at  $\alpha=0.05$  (Wilks'  $\lambda=0.805$ ,  $F_{3,120}=3.63$ ,  $p=0.003$ ). Therefore, univariate ANOVAs and Tukey's post hoc tests were conducted as follow up tests. Prior to examining the ANOVA results, the alpha level was adjusted utilizing the Bonferroni adjustment described earlier. Consequently, the adjusted  $\alpha$  level was determined to be  $0.05/5=0.010$ . Univariate ANOVA results, presented in Table 3-9, reveal no significant three-way interactions among the factors of gender, medication status, and baseline on the dependent variable of IPD ( $F_{1,120}=4.37$ ,  $p=0.013$ ). nor was there a significant two-way interaction between the factors (medication status  $\lambda$  baseline:  $F_{1,120}=0.62$ ,  $p=0.542$ , gender  $\lambda$  baseline:  $F_{1,120}=0.06$ ,  $p=0.815$ , gender  $\lambda$  medication status:  $F_{1,120}=0.10$ ,  $p=0.766$ ). Main effects were then examined and revealed that inspiratory phase duration differs for baseline ( $F_{1,120}=14.96$ ,  $p<0.001$ ), medication status ( $F_{1,120}=10.79$ ,  $p<0.001$ ), and gender ( $F_{1,120}=33.64$ ,  $p<0.001$ ). Tukey's post hoc results, presented in Table 3-10 and depicted in Figure 3-6 for inspiratory phase duration and baseline indicate no significant differences between the two pre-treatment baselines (IPD<sub>pre1</sub> mean = 1.13, IPD<sub>pre2</sub> mean = 1.24,  $p=0.626$ ) or IPD<sub>pre</sub>. Inspiratory phase duration measured during the post-treatment baseline (IPD<sub>post</sub> mean = 1.19) however, were significantly different than those measured during the first ( $p<0.001$ ) or second ( $p=$

Table 3.4: MANCOVA results of the effects of Gender, Baseline, and Medication Status on cough measures

Effect	Statistic	Value	F value	Hypothesis DF	Error DF	P value
Medication	Wilks' $\Lambda$	0.939	4.26	3	135	0.001
Baseline	Wilks' $\Lambda$	0.917	7.90	10	132	<0.001
Gender	Wilks' $\Lambda$	0.967	0.89	3	4	0.504
Medication x Baseline	Wilks' $\Lambda$	0.813	3.33	10	132	0.017
Medication x Gender	Wilks' $\Lambda$	0.911	2.50	3	135	0.053
Baseline x Gender	Wilks' $\Lambda$	0.910	8.62	10	132	<0.001
Gender x Medication x Baseline	Wilks' $\Lambda$	0.907	2.83	10	132	0.0049*

\* indicates that the main difference is significant at  $\alpha = 0.05$

Table 3.9: Hierarchical ANOVAs summary table for weight dependent variables

Source	Dependent Variable	DF	Type I SS	Mean Square	F value	P value
Model	WFO	19	36.377	1.915	26.20	<0.0001
	CFO	19	5.643	0.297	4.09	<0.0001
	FWFL	19	428.643	22.560	309.47	<0.0001
	FWFO	19	0.079	0.004	0.05	<0.0001
	FWFA	19	364.761	19.198	263.17	<0.0001
Modification	WFO	1	0.243	0.243	3.30	0.0007
	CFO	1	0.030	0.030	0.41	0.5217
	FWFL	1	0.013	0.013	0.17	<0.0001 <sup>†</sup>
	FWFO	1	0.004	0.004	0.05	0.828
	FWFA	1	0.024	0.024	0.33	0.566
Baseline	WFO	2	1.936	0.968	13.06	<0.0001 <sup>†</sup>
	CFO	2	0.069	0.034	0.45	0.637
	FWFL	2	18.814	9.407	128.92	<0.0001
	FWFO	2	0.011	0.006	0.08	0.920
	FWFA	2	22.342	11.171	151.27	<0.0001
Gender	WFO	1	1.875	1.875	25.66	<0.0001 <sup>†</sup>
	CFO	1	0.000	0.000	0.00	0.959
	FWFL	1	221.894	221.894	3040.00	<0.0001
	FWFO	1	0.010	0.005	0.07	0.792 <sup>†</sup>
	FWFA	1	45.264	45.264	620.22	<0.0001
Modification x Baseline	WFO	2	0.663	0.331	4.51	0.010
	CFO	2	0.012	0.006	0.08	0.921
	FWFL	2	5.314	2.657	3.64	0.029
	FWFO	2	0.015	0.008	0.10	0.909
	FWFA	2	0.797	0.398	5.34	0.020
Gender x Modification	WFO	1	0.007	0.007	0.09	0.766
	CFO	1	0.002	0.002	0.03	0.854 <sup>†</sup>
	FWFL	1	0.175	0.175	2.39	0.126
	FWFO	1	0.003	0.003	0.04	0.831
	FWFA	1	0.009	0.009	0.12	0.730
Gender x Baseline	WFO	2	0.007	0.003	0.04	0.830
	CFO	2	0.003	0.001	0.01	0.985 <sup>†</sup>
	FWFL	2	21.429	10.714	146.62	<0.0001 <sup>†</sup>
	FWFO	2	0.004	0.002	0.03	0.859
	FWFA	2	0.003	0.001	0.01	0.981
Gender x Modification x Baseline	WFO	2	0.440	0.220	2.97	0.053
	CFO	2	0.015	0.008	0.10	0.909
	FWFL	2	1.481	0.740	1.00	0.370
	FWFO	2	0.013	0.006	0.08	0.924
	FWFA	2	0.315	0.157	2.12	0.034 <sup>†</sup>

<i>Excess</i>	SPD	150	-5.841	-8.000		
	CFO	150	0.781	-8.000		
	FAPR	150	75.824	-8.000		
	PPFD	150	0.381	-8.000		
	PPFA	150	75.822	-8.000		
<b>Corrected Total</b>	SPD	150	44.279			
	CFO	150	5.831			
	FAPR	150	842.804			
	PPFD	150	0.721			
	PPFA	150	839.500			

\* indicates that the mean difference is significant at  $\alpha = 0.050$

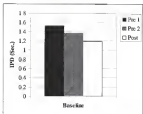


Table 3.10: Post hoc tests for EPO by Baseline

Dependent Variable	Independent Variable Combinations		Mean (I)	Mean (J)	Mean Difference (I-J)	Std. Error	P value
	(I)	(J)					
EPO	Post	Pre 1	1.183	1.321	-.138	0.063	<0.001 <sup>a</sup>
	Post	Pre 2	1.193	1.361	-.168	0.059	<0.001 <sup>a</sup>
	Pre 1	Pre 2	1.155	1.361	-.206	0.058	<0.001

<sup>a</sup> indicates that the mean difference is significant at  $\alpha=0.010$

Figure 3-4 IPD-mean values at different Baselines



0.000) per treatment baseline. On the other hand, post hoc results for CPD and medication status (presented in Table 3.11 and depicted in Figure 3.7), indicate that CPDs measured during the GFF medication status (CPD<sub>GFF</sub> mean = 1.25) were significantly higher than those measured during the GFF no-medication status (CPD<sub>GFF</sub> mean = 1.03,  $p < 0.001$ ). Finally, post hoc results for CPD and gender, presented in Table 3.10 and depicted in Figure 3.8, indicate that male participants (CPD<sub>ma</sub> mean = 1.47) had a significantly longer respiratory phase than female participants did (CPD<sub>f</sub> mean = 1.25,  $p < 0.000$ ).

The results of the two-way ANOVA for CPD, presented in Table 3.9, reveal no significant three-way interaction among the factors ( $F_{3,120} = 0.00$ ,  $p = 0.976$ ). Examining the two-way interactions indicates a significant interaction between gender and baseline ( $F_{1,40} = 6.10$ ,  $p = 0.002$ ) and gender and medication status ( $F_{1,40} = 12.43$ ,  $p = 0.001$ ) but not between the factors of medication status and baseline ( $F_{1,40} = 0.98$ ,  $p = 0.403$ ). Simple effect tests were conducted to further explore the effect of gender and baseline on CPD. Results, presented in Table 3.12 and depicted in Figure 3.9, revealed that the CPDs measured for female participants during the first pre-treatment baseline (CPD<sub>f,pre</sub> mean = 0.83) were significantly longer than those measured for females during other baselines (CPD<sub>f,post1</sub> mean = 0.81,  $p = 0.002$ ; CPD<sub>f,post2</sub> mean = 0.79,  $p = 0.000$ ) and were significantly longer than those measured for males during the first pre-treatment (CPD<sub>ma,pre</sub> mean = 0.71,  $p = 0.000$ ) or the post-treatment baseline (CPD<sub>ma,post</sub> mean = 0.76,  $p < 0.000$ ). No significant differences were found between CPDs measured for males during the two pre-treatment baselines (CPD<sub>ma,pre1</sub> mean = 0.71, CPD<sub>ma,pre2</sub> mean = 0.74,  $p = 0.060$ ) or those measured for males during the post-treatment baseline and

Table 3-11: Pair test tests for EPD by Medication Status and by Gender

Dependent Variable	Independent Variable Combinations		Mean (I)	Mean (J)	Mean Difference (I-J)	Std. Error	P value
	(I)	(J)					
EPD	Control	Med	1.191	1.154	-.037	.6305	> 0.0001 <sup>a</sup>
	M	F	1.472	1.285	-.187	.6274	> 0.0001 <sup>a</sup>

<sup>a</sup> indicates that the mean difference is significant at  $\alpha = 0.010$

Figure 3-7 Effects of Medication Status on EPD

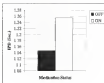


Figure 3.8 Effects of Gender on IQ

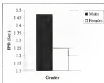
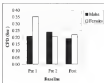


Table 3-12. Results of Tukey's multiple effect tests for the effects of Gender and Baseline on QPD

Independent Variable Combinations		Mean	Mean	Mean	Std.	P-value
(i)	(j)	(k)	(l)	Differences (k-l)	Error	
3 F, Post	3 F, Pre 1	0.215	0.210	0.112	0.036	0.003 <sup>a</sup>
	3 F, Pre 2		0.205	-0.012	0.033	0.954
	4 M, Post		0.091	-0.027	0.028	0.004
	4 M, Pre 1		0.208	0.01	0.032	1.000
	4 M, Pre 2		0.214	0.002	0.028	0.975
3 F, Pre 1	3 F, Pre 2	0.218	0.201	-0.045	0.036	0.003 <sup>a</sup>
	4 M, Post		0.091	-0.105	0.034	< 0.001 <sup>a</sup>
	4 M, Pre 1		0.208	-0.042	0.033	0.003 <sup>a</sup>
	4 M, Pre 2		0.218	-0.012	0.034	0.008
3 F, Pre 2	4 M, Post	0.202	0.092	-0.014	0.028	0.001
	4 M, Pre 1		0.204	0.002	0.028	1.000
	4 M, Pre 2		0.214	0.012	0.028	0.011
4 M, Post	4 M, Pre 1	0.191	0.208	0.017	0.032	0.003
	4 M, Pre 2		0.218	0.047	0.028	0.004
4 M, Pre 1	4 M, Pre 2	0.208	0.214	0.005	0.028	0.964

<sup>a</sup> indicates that the mean difference is significant at  $\alpha = 0.05$

Figure 3.5 Effects of Gender and Baseline on CPO





then measured for them during the first ( $Q = 0$  [OFF]) or second ( $Q = 1$  [ON]) pre-treatment baseline. Simple effect tests were also conducted to further explore the effects of gender and medication status on composite phase duration. Results, presented in Table 3-13 and depicted in Figures 3-8 to 3-10, revealed that CPTD measured for males during the OFF medication status ( $CPTD_{OFF}$  mean = 8.23) were significantly longer than those measured for them ON medication ( $CPTD_{ON}$  mean = 6.17,  $p = 0.003$ ).

Examining the omnibus ANOVA results for PEPR, presented in Table 3-8, revealed no significant three-way interactions among the factors ( $F_{3,120} = 1.79, p = 0.171$ ) nor was there a significant difference in the two-way interactions between medication status and baseline ( $F_{1,40} = 3.04, p = 0.094$ ), or gender and medication status ( $F_{1,40} = 0.04, p = 0.826$ ). There was, however, a significant two-way interaction between the factors of gender and baseline ( $F_{1,40} = 18.82, p < 0.001$ ). Further, the main effect of medication status was also significant ( $F_{1,40} = 13.45, p = 0.001$ ). Therefore, a Tukey's post hoc test was conducted to further explore the effects of medication status on PEPR. Results, presented in Table 3-14 and depicted in Figure 3-11, indicate that PEPRs measured for participants during the OFF medication status ( $PEPR_{OFF}$  mean = 8.38) were significantly higher than those measured for them ON medication ( $PEPR_{ON}$  mean = 7.70,  $p = 0.001$ ). In addition, simple effect tests were carried out to further explore the effects of gender and baseline on PEPR. Results, presented in Table 3-15 and depicted in Figure 3-12, indicate that PEPRs measured for males on any baseline were significantly higher than those measured for females during any baseline. In addition, PEPRs measured for males during the post-treatment baseline ( $PEPR_{post}$  mean = 10.04) were

Table 3.12: Results of Tukey's sample effect tests for the effects of Gender and Information Status on CFI

Independent Variable Combinations		Mean	Mean	Mean Difference	Std. Error	P-value
(I)	(J)	(I)	(J)	(I-J)		
1 F, QNF	1 F, QN	0.198	0.170	0.028	0.008	0.004
	3 M, QNF		0.190	0.008	0.004	0.001
	4 M, QN		0.174	-0.011	0.009	0.026
2 F, QN	3 M, QNF	0.170	0.190	-0.02	0.002	0.003
	4 M, QN		0.174	-0.006	0.003	0.012
3 M, QNF	4 M, QN	0.170	0.174	-0.006	0.0	0.004*

\* Indicates that the mean difference is significant at  $\alpha = 0.01$

Figure 3.10: Effects of Gender and Medication Status on CPD

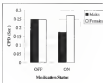


Table 3-14 Post hoc tests for PGPB by Medication Status

Dependent Variable	Independent Variable Combinations		Mean	Mean	Mean Difference	Std. Error	P value
	(1) OFF	(2) ON	(1)	(2)	(1-2)		
PGPB	OFF	ON	8.289	7.343	0.946	0.324	0.004 <sup>a</sup>

<sup>a</sup> indicates that the mean difference is significant at  $\alpha = 0.05$ .

Figure 3-11 Effect of Medication Status on PGPB

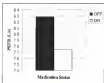
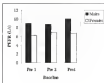


Table 3.13: Results of Tukey's simple effect tests for the effects of Gender and Baseline on *WPA*.

Independent Variable Combinations		Mean	Mean	Mean	Std.	P-value
(A)	(B)	(C)	(D)	(D-C)	Error	
1 F, Post	1 F, Pre 1	4.768	4.732	-0.036	0.272	0.712
	1 F, Pre 2		4.900	-0.040	0.202	0.898
	4 M, Post		13.043	-8.281	0.294	<0.000 <sup>a</sup>
	4 M, Pre 1		9.862	-3.902	0.395	<0.000 <sup>a</sup>
	4 M, Pre 2		8.764	-2.864	0.294	<0.000 <sup>a</sup>
2 F, Pre 1	2 F, Pre 2	4.220	4.700	-0.480	0.272	0.489
	4 M, Post		13.043	-8.823	0.348	<0.000 <sup>a</sup>
	4 M, Pre 1		9.862	-5.642	0.348	<0.000 <sup>a</sup>
	4 M, Pre 2		8.764	-4.544	0.348	<0.000 <sup>a</sup>
3 F, Pre 2	4 M, Post	4.900	13.043	-8.143	0.294	<0.000 <sup>a</sup>
	4 M, Pre 1		9.862	-2.962	0.272	<0.000 <sup>a</sup>
	4 M, Pre 2		8.764	-1.864	0.294	<0.000 <sup>a</sup>
4 M, Post	4 M, Pre 1	10.043	9.862	0.181	0.294	0.830
	4 M, Pre 2		8.764	1.279	0.362	<0.000 <sup>a</sup>
5 M, Pre 1	4 M, Pre 2	9.082	8.764	0.318	0.309	0.529

<sup>a</sup> indicates that the mean difference is significant at  $\alpha = 0.05$ .

Figure 3-13. Effects of Baseline and Gender on PEP5



significantly higher than those measured for them during the second pre-treatment baseline (FFPR  $_{(1, 10)} \text{ mean} = 1.76, p < 0.001$ ). No significant differences were found, however, between FFPRs measured for males during the post-treatment baseline and those measured for them during the first pre-treatment baseline (FFPR  $_{(1, 10)} \text{ mean} = 5.04, p = 0.023$ ). Further, no significant differences were found between FFPRs measured for males during the first and second pre-treatment baselines ( $p = 0.925$ ), nor were there any differences among FFPRs measured for females during any of the baselines.

The effects of gender, medication status, and baseline on FFFD were analyzed using a separate ANOVA. Results, presented in Table 3-8, indicated no significant two- or three-way interactions among the factors. Results, however, revealed a significant main effect for gender ( $F_{(1, 10)} = 16.35, p = 0.002$ ) but not for medication status ( $F_{(1, 10)} = 1.17, p = 0.300$ ), or baseline ( $F_{(1, 10)} = 3.23, p = 0.043$ ). The mean FFFD for male participants (FFD  $_{(1, 10)} \text{ mean} = 0.13$ ) was significantly longer than that measured for females (FFD  $_{(1, 10)} \text{ mean} = 0.01, p < 0.001$ ), see Table 3-8 and Figure 3-12.

Finally, a separate ANOVA was conducted to evaluate the effects of gender, medication status, and baseline on FFFA. Results, presented in Table 3-9, revealed a significant three-way interaction among the factors ( $F_{(1, 10)} = 7.77, p = 0.001$ ). Therefore, simple effect tests were carried out. Results, presented in Table 3-10 and depicted in Figure 3-14, indicate that FFFAs measured for male participants during the post-treatment baseline OFF medications (FFFA  $_{(1, 10)} \text{ mean} = 5.50$ ) were significantly higher than those measured for females during the pre-treatment baseline in any medication status: those measured for females in the OFF medications during any of the

Table 3-16: Post hoc tests for PFPD by Gender

Dependent Variable	Independent Variable Contributions		Mean (I)	Mean (J)	Mean Difference (I-J)	Std. Error	P-value
	(I)	(J)	(I)	(J)	(I-J)		
PFPD	M	F	0.130	0.049	0.111	0.008	< 0.001 <sup>a</sup>

<sup>a</sup> indicates that the mean difference is significant at  $\alpha = 0.010$

Figure 3-17: Effects of Gender on PFPD

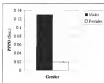




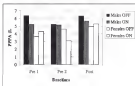
Table 3-13 Results of Tukey's sample effect tests for the effects of Gender, Medication, Status, and Duration on PPA.

Independent Variable Combinations		Mean (I)	Mean (J)	Mean Difference (I-J)	Std. Error	P-value
(I)	(J)					
1 F, GNP, Post	2 F, GNP, Pre 1	4.608	5.623	-1.015	0.409	0.133
	3 F, GNP, Pre 2		4.643	-0.035	0.390	0.990
	4 F, GNP, Post		5.590	-0.982	0.400	0.001
	5 F, GNP, Pre 1		4.783	-0.175	0.400	0.873
	6 F, GNP, Pre 2		5.406	-0.799	0.400	0.043
	7 M, GNP, Post		4.333	-0.275	0.258	<0.000 <sup>a</sup>
	8 M, GNP, Pre 1		4.365	-0.457	0.364	0.081
	9 M, GNP, Pre 2		5.287	-0.679	0.358	0.039
	10 M, GNP, Post		5.033	-0.393	0.364	0.034
	11 M, GNP, Pre 1		5.243	-0.635	0.360	0.009
	12 M, GNP, Pre 2		5.084	-0.396	0.367	0.040
2 F, GNP, Pre 1	3 F, GNP, Pre 2	3.405	4.643	-1.238	0.433	0.040
	4 F, GNP, Post		5.290	-1.885	0.390	0.000
	5 F, GNP, Pre 1		4.503	-0.818	0.360	0.000
	6 F, GNP, Pre 2		5.084	-0.581	0.354	0.001
	7 M, GNP, Post		4.333	-0.933	0.401	<0.000 <sup>a</sup>
	8 M, GNP, Pre 1		4.365	-0.79	0.350	<0.000 <sup>a</sup>
	9 M, GNP, Pre 2		5.247	-1.453	0.434	0.000 <sup>a</sup>
	10 M, GNP, Post		5.035	-1.058	0.352	0.000 <sup>a</sup>
	11 M, GNP, Pre 1		5.247	-1.455	0.431	0.000
	12 M, GNP, Pre 2		5.104	-1.553	0.350	0.000
3 F, GNP, Pre 2	4 F, GNP, Post	4.645	5.290	-0.645	0.400	0.000
	5 F, GNP, Pre 1		4.503	0.140	0.433	1.000
	6 F, GNP, Pre 2		5.084	-0.441	0.433	0.001
	7 M, GNP, Post		4.333	0.314	0.358	<0.000 <sup>a</sup>
	8 M, GNP, Pre 1		4.365	-0.332	0.360	0.000 <sup>a</sup>
	9 M, GNP, Pre 2		5.287	-0.924	0.354	0.000
	10 M, GNP, Post		5.035	-0.002	0.364	0.999
	11 M, GNP, Pre 1		5.247	-0.608	0.360	0.000
	12 M, GNP, Pre 2		5.102	-0.455	0.364	0.040
4 F, GNP, Post	5 F, GNP, Pre 1	5.290	4.283	1.007	0.379	0.000
	6 F, GNP, Pre 2		5.084	0.201	0.379	0.000 <sup>a</sup>
	7 M, GNP, Post		4.333	0.957	0.403	0.000
	8 M, GNP, Pre 1		4.365	0.925	0.350	0.000
	9 M, GNP, Pre 2		5.250	0.000	0.400	1.000
	10 M, GNP, Post		5.035	-0.205	0.361	1.000
	11 M, GNP, Pre 1		5.247	0.045	0.366	1.000

	12 M, ON, Pre 2		3.158	0.112	0.503	1.000
3 F, ON, Pre 1	6 F, OFF, Pre 2	+203	3.888	1.113	0.208	0.013
	7 M, OFF, Post		6.137	-2.844	0.405	<0.000*
	8 M, OFF, Pre 1		6.355	-2.803	0.518	0.002*
	9 M, OFF, Pre 2		3.237	-0.874	0.400	0.477
	10 M, ON, Post		3.413	-4.783	0.318	0.136
	11 M, ON, Pre 1		5.257	-0.864	0.318	0.764
	12 M, ON, Pre 2		3.158	-0.171	0.318	0.877
4 F, ON, Pre 1	7 M, OFF, Post	-1708	6.237	-5.204	0.403	<0.000*
	8 M, OFF, Pre 1		6.363	-3.227	0.318	<0.000*
	9 M, OFF, Pre 2		3.237	-2.148	0.425	<0.000*
	10 M, ON, Post		5.675	-3.567	0.318	<0.000*
	11 M, ON, Pre 1		3.247	-2.129	0.318	0.003*
	12 M, ON, Pre 2		3.158	-2.888	0.318	0.000*
7 M, OFF, Post	8 M, OFF, Pre 1	-4.323	6.363	-0.038	0.327	1.000
	9 M, OFF, Pre 2		3.237	-1.870	0.308	<0.000*
	10 M, ON, Post		5.675	-0.651	0.318	0.797
	11 M, ON, Pre 1		3.247	-1.090	0.318	0.186
	12 M, ON, Pre 2		3.158	-1.185	0.318	0.094
8 M, OFF, Pre 1	9 M, OFF, Pre 2	-6.365	3.237	-1.188	0.323	0.086
	10 M, ON, Post		5.675	-0.648	0.318	0.873
	11 M, ON, Pre 1		3.247	-1.138	0.455	0.387
	12 M, ON, Pre 2		3.158	-1.287	0.457	0.264
9 M, OFF, Pre 2	10 M, ON, Post	-9.287	6.873	-0.418	0.333	0.589
	11 M, ON, Pre 1		3.247	-0.813	0.327	1.000
	12 M, ON, Pre 2		3.158	-0.848	0.318	1.000
10 M, ON, Post	11 M, ON, Pre 1	-9.473	6.787	-0.476	0.458	0.000
	12 M, ON, Pre 2		3.158	-0.571	0.457	0.000
11 M, ON, Pre 1	12 M, ON, Pre 2	-9.247	3.158	-0.889	0.479	1.000

\* indicates that the main difference is significant at  $\alpha = 0.001$

Figure 3.14 Effects of Gender, Baseline, and Medication Status on PPA-16



baseline and those measured for males OFF medications during the second pre-treatment baseline (PTFA<sub>2,OFF</sub>  $\mu_{2,OFF}$  mean = 3.35, SD = .9,  $p < 0.001$ ). Results also indicate that PTFA<sub>2</sub> measured for males during the post-treatment baseline OFF medications were not significantly different from those measured for males ON medications during any of the baselines nor were they significantly different from those measured for males OFF medications during the first pre-treatment baseline (PTFA<sub>1,OFF</sub>  $\mu_{1,OFF}$  mean = 3.33,  $p = 1.00$ ) or those measured for females OFF medications during the post-treatment baseline (PTFA<sub>2,OFF</sub>  $\mu_{2,OFF}$  mean = 3.29,  $p < 0.001$ ). Results also indicate that PTFA<sub>2</sub> measured for males OFF medications during the first pre-treatment baseline (PTFA<sub>1,OFF</sub>  $\mu_{1,OFF}$  mean = 3.33) were significantly higher than those measured for females OFF medications during any baseline and those measured for females ON medications during any of the pre-treatment baselines. However, PTFA<sub>2</sub> measured for males OFF medications during the first pre-treatment baseline were not significantly different from measurements made for male participants if any medication status during any baseline. In contrast, PTFA<sub>2</sub> measured for male participants OFF medications during the second pre-treatment baseline (PTFA<sub>2,OFF</sub>  $\mu_{2,OFF}$  mean = 3.35) were significantly higher than only those measured for female participants OFF medications during the second pre-treatment baseline (PTFA<sub>2,OFF</sub>  $\mu_{2,OFF}$  mean = 3.11,  $p < 0.001$ ) and female participants OFF medications during the first pre-treatment baseline (PTFA<sub>1,OFF</sub>  $\mu_{1,OFF}$  mean = 3.03,  $p = 0.005$ ). In addition, results also showed that PTFA<sub>2</sub> measured for males ON medications during the post-treatment baseline (PTFA<sub>2,ON</sub>  $\mu_{2,ON}$  mean = 3.44) were significantly higher than those measured for females OFF medications during the first pre-treatment baseline (PTFA<sub>1,OFF</sub>  $\mu_{1,OFF}$  mean = 3.03,  $p = 0.004$ ) and females OFF medications during the second

pre-treatment baseline (FFFA  $p$  vs.  $p$  score = 3.11;  $p < 0.001$ ). Furthermore, FFFAs measured for females ON medications during the second pre-treatment baseline were significantly lower than those measured for males ON medications during any of the pre-treatment baseline snapshots measured for females during the post-treatment baseline in any medication status.

### Swallowing Quality of Life

A repeated measures ANOVA was conducted to investigate total SWAL-QOL differences in gender and baseline among participants. ANOVA results presented in Table 3-18, showed no significant interaction between the factors of  $n = 545$  ( $F_{1,545} = 0.13$ ,  $p = 0.722$ ). A significant main effect was found, however, for gender ( $F_{1,545} = 7.63$ ,  $p = 0.006$ ), but not baseline ( $F_{1,545} = 0.05$ ,  $p = 0.832$ ). The mean total SWAL-QOL score for females (mean = 184.50) was significantly higher than that for males (mean = 165.88,  $p = 0.006$ ), see Table 3-18 and Figure 3-15.

### The Motor Section of the Unified Parkinson's Disease Rating Scale (UPDRS-M)

A repeated measures ANOVA was conducted to investigate total UPDRS score differences in gender, medication status, and baseline among participants. ANOVA results presented in Table 3-20, showed that the three-way interaction among the factors was not significant at  $n = 545$  ( $F_{1,545} = 0.02$ ,  $p = 0.882$ ). In addition, the two-way interaction between gender and medication status was not significant at  $n = 545$  ( $F_{1,545} = 1.46$ ,  $p = 0.230$ ), nor was it significant between the factors of medication status and baseline ( $F_{1,545} = 0.25$ ,  $p = 0.612$ ). However, the two-way interaction between gender and baseline was significant ( $F_{1,545} = 3.76$ ,  $p = 0.056$ ). Further, a significant main effect for medication ( $F_{1,545} = 14.23$ ,  $p = 0.0002$ ) was found. Consequently, a Tukey's post-hoc

Table 3-18: Results of the repeated measures ANOVA for SWAL-QOL total score.

Source	DF	Type III Sum of Squares	Mean Square	F value	P value
Model	11	81112.680 <sup>a</sup>	7373.88	66.76	<.0001
Intercept	1	71.000	71.000	0.19	0.662
Gender	1	280.400	280.400	2.51	0.000 <sup>a</sup>
Residuals	1	30.000	30.000	0.17	0.721
Error	68	2111.000	31.191		
Corrected Total	79	10417.680			

<sup>a</sup> indicates that the mean difference is significant at  $\alpha = 0.05$ .

Table 3-19: Post hoc tests for SWAL-QOL total by Gender

Dependent Variable	Independent Variable Combinations		Mean (I)	Mean (J)	Mean Difference (I-J)	Std. Error	P value
	I	J					
SWAL-QOL Total	M	F	17.50	17.00	0.50	0.007	0.000 <sup>a</sup>

<sup>a</sup> indicates that the mean difference is significant at  $\alpha = 0.05$ .

Figure 3-15. Effects of Gender on SF36L-QOL total score

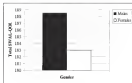


Table 3.30 Results of the repeated measures ANOVA for UPOES-M total

Source	DF	Type III SS	Mean Square	F value	P value
Model	12	6021.629	501.802	25.02	< 0.0001
Medication	1	341.458	341.458	14.10	< 0.0001 <sup>a</sup>
Baseline	2	23.060	11.530	0.46	0.631
Gender	1	271.186	271.186	11.29	< 0.0001
Medication x Baseline	2	7.685	3.842	0.15	0.880
Gender x Medication	1	54.718	54.718	2.24	0.138
Gender x Baseline	2	176.659	88.330	3.35	0.038 <sup>a</sup>
Gender x Medication x Baseline	2	45.747	22.873	0.92	0.403
Error	126	2617.914	20.785		
Corrected Total	138	11489.543			

<sup>a</sup> indicates that the mean difference is significant at  $\alpha = 0.05$



test was conducted to determine the effects of gender on the total score of the UPDRS-M. Results, presented in Table 3-20 and depicted in Figure 3-18, reveal that total scores of the UPDRS-M assigned to participants during the ON medication status (mean = 37.54) were significantly higher than those assigned to them during the OFF medication status (mean = 35.42,  $p = 0.001$ ). Further, Tukey's simple effect tests were carried out to explore the significant interaction between gender and baseline. Results of these tests, presented in Table 3-21, revealed that the only significant difference between the two genders among the three baselines was that between the total UPDRS-M scores assigned to females and males during the post-treatment baseline (UPDRS-M<sub>post</sub>; mean = 38.73) was significantly higher than that assigned to females (UPDRS-M<sub>post</sub> females mean = 39.83,  $p = 0.11$ ) during this baseline.

### 3rd Baseline

A two-way MANOVA was conducted to determine the effects of gender and baseline on the three dependent variables of total duration of 3rd swallow, number of swallows, and P-A score. MANOVA results, presented in Table 3-22, indicate nonsignificance for the interaction between the factors (Wilks'  $\lambda = 0.491$ ,  $F_{(3,12)} = 1.07$ ,  $p = 0.393$ ). The main effects were then examined and revealed that gender (Wilks'  $\lambda = 0.034$ ,  $F_{(1,4)} = 36.53$ ,  $p < 0.001$ ), but not baseline (Wilks'  $\lambda = 0.481$ ,  $F_{(3,12)} = 1.18$ ,  $p = 0.301$ ) significantly affect the combined dependent variable of total 3rd swallow duration, number of swallows, and P-A score. As follow-up tests, three separate ANOVAs were conducted to evaluate the effects of gender on the dependent variables of total 3rd swallow duration, number of swallows, and P-A score. Prior to examining the ANOVA

Table 3-22: Post hoc tests for UPDRS-M total by Medication Status

Dependent Variable	Independent Variable		Mean	Mean	Mean Difference	Std. Error	P value
	(I)	(J)	(I)	(J)	(I-J)		
UPDRS-M Total	ON	OFF	37.269	33.492	3.817	1.308	.000 <sup>a</sup>

<sup>a</sup> indicates that the mean difference is significant at  $\alpha = 0.05$

Figure 3.15 Effect of Medication Status on total LFCB M scores.



Table 3-12: Results of Tukey's multiple effect tests for the effects of Gender and Reading on UTR&M used

Independent Variable Combinations		Mean	Mean	Mean Difference	Std. Error	P-value
(I)	(II)	(III)	(IV)	(I-IV)		
1 F, Post	1. F, Pre 1	22.173	20.790	-4.373	1.115	0.001
	2. F, Pre 2		20.823	-0.030	1.090	0.796
	3. M, Post		26.790	3.374	1.757	0.000*
	3. M, Pre 1		26.790	2.873	1.048	0.004
	4. M, Pre 2		26.823	0.193	1.127	0.326
2 F, Pre 1	2. F, Pre 2	21.250	20.823	-0.423	1.115	0.720
	3. M, Post		26.790	5.565	1.048	1.000
	3. M, Pre 1		26.790	1.900	1.128	0.001
	4. M, Pre 2		26.823	1.317	1.045	0.004
3 F, Pre 2	3. M, Post	23.400	26.790	3.123	1.127	0.007
	3. M, Pre 1		26.790	-2.123	1.048	0.001
	4. M, Pre 2		26.823	-0.400	1.127	0.004
4 M, Post	3. M, Pre 1	26.770	26.790	1.000	1.110	0.760
	4. M, Pre 2		26.823	0.117	1.125	0.860
5 M, Pre 1	4. M, Pre 2	21.250	26.823	-9.283	1.110	1.000

\* indicates that the mean difference is significant at  $\alpha = 0.05$

Figure 3.17 Effects Gender and Bandwidth on Vertical UPRB Scores

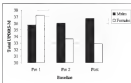


Table 3-13: MANOVA results of the effects of Gender and Baseline on Post-surgery perceptions.

Effect	Sum of Squares	Value	F value	Hypothesis df	Error df	P-value
Baseline	95.7613	0.1807	2.40	2	8	0.114
Gender	95.7613	0.0704	0.93	2	8	0.4007*
Baseline x Gender	95.7613	0.0001	0.00	2	8	0.999

\* indicates that the mean-difference is significant at  $\alpha = 0.05$

results, the alpha level was adjusted using the Bonferroni adjustment described earlier. Consequently, the adjusted  $\alpha$ -level was determined to be  $0.05/3 = 0.0167$ . Univariate ANOVA results (presented in Table 3.24) indicate that gender significantly differs for total swallow duration ( $F_{1,24} = 52.98$ ,  $p < 0.0001$ ) and number of swallows ( $F_{1,24} = 14.81$ ,  $p = 0.0011$ ) but not for P-A score ( $F_{1,24} = 2.21$ ,  $p = 0.155$ ). Females required a significantly longer time to clear a 3-oz than liquid (mean = 37.36) than males did (mean = 12.39). In addition, females required significantly more swallows to clear a 3-oz than liquid (mean = 9.25) than males did (mean = 6.33) (see Table 3.23 and Figures 3.18 and 3.25).

### 3. oral flow swallow

A multivariate analysis of variance (MANOVA) was conducted to determine the effects of gender, baseline consistency and bolus size on the dependent variables of pharyngeal response time (PRT), hyoid elevation duration (HED), hyoid anterior motion response time (HAMRT), hyoid anterior motion duration (HAMD), total hyoid movement duration (THMD), closed velopharyngeal port duration (CVPD), P-A score, hyoid elevation displacement rate (HEDR), and hyoid anterior motion displacement rate (HAMDR). MANOVA results (presented in Table 3.26) revealed that the three-way interaction among the factors was not significant at  $\alpha = 0.05$  ( $Wilks' \lambda = 0.034$ ,  $F_{1,24} = 1.16$ ,  $p = 0.338$ ). Three-way interactions among the factors were also not significant at  $\alpha = 0.05$  (bolus size  $\times$  baseline  $\times$  consistency:  $Wilks' \lambda = 0.710$ ,  $F_{1,24} = 1.88$ ,  $p = 0.051$ ; bolus size  $\times$  consistency  $\times$  gender:  $Wilks' \lambda = 0.837$ ,  $F_{1,24} = 1.04$ ,  $p = 0.406$ ; bolus size  $\times$  gender  $\times$  baseline:  $Wilks' \lambda = 0.963$ ,  $F_{1,24} = 0.38$ ,  $p = 0.606$ ; consistency  $\times$  gender  $\times$  baseline:  $Wilks' \lambda = 0.155$ ,  $F_{1,24} = 1.09$ ,  $p = 0.402$ ). The two-way interactions between

Table 5-14: Univariate ANOVAs summary table for diet and/or dependent variables

Source	Dependent Variable	DF	Type III SS	Mean Square	F value	P value
Model	Dietary	11	2649.822	240.893	12.24	<0.001*
	Swallowing test	11	282.111	25.647	2.93	0.011
	P.A. score	11	4.264	0.426	1.01	0.414
Baseline	Dietary	7	45.122	6.446	2.12	0.103
	Swallowing test	7	1.980	0.283	0.08	0.768
	P.A. score	7	0.146	0.021	0.01	0.911
Greater	Dietary	7	122.002	17.429	22.68	<0.001*
	Swallowing test	7	42.222	6.032	11.36	0.001*
	P.A. score	7	0.671	0.096	2.2	0.121
Baseline x Greater	Dietary	7	101.120	14.446	4.81	0.000
	Swallowing test	7	0.332	0.047	0.14	0.714
	P.A. score	7	0.040	0.006	0.12	0.732
Error	Dietary	8	148.426	21.177		
	Swallowing test	8	28.617	3.577		
	P.A. score	8	2.444	0.306		
Corrected Total	Dietary	19	4419.238			
	Swallowing test	19	225.900			
	P.A. score	19	7.276			

\* indicates that the mean-difference is significant at  $\alpha = 0.05$



Table 3-13: Post hoc tests for the effects of Gender on total window duration, number of windows and F-A score during test relearning

Dependent Variable	Independent Variable Combinations		Mean (I)	Mean (J)	Mean Difference (I-J)	P-value
	(I)	(J)	(I)	(J)	(I-J)	
Duration	W	F	17.783	27.561	-9.778	<0.001*
Windows no	W	F	6.113	9.230	-3.117	0.003*
F-A score	W	F	1.100	1.430	-0.330	0.102

\* indicates that the mean-difference is significant at  $\alpha = 0.001$

Figure 3.11 Effect of Gender on total box reaction duration

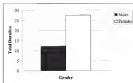


Figure 3.14 Effect of Gender on the number of residents required to close a 500-bed hospital

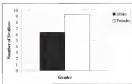


Table 3.26: MANOVA results of the effects of Gender, Baseline, Polus Size, and Consistency on 8 and 10m swallow statistics

Effect	Statistic	Value	F-value	Hypothesis DF	Error DF	P-value
Gender	Wilks's $\Lambda$	0.330	9.94	9	40	<0.001 <sup>a</sup>
Polus Size	Wilks's $\Lambda$	0.711	1.71	9	40	0.099
Consistency	Wilks's $\Lambda$	0.346	1.03	9	40	0.179
Baseline	Wilks's $\Lambda$	0.311	9.44	9	40	<0.001 <sup>a</sup>
Gender x Polus Size	Wilks's $\Lambda$	0.946	0.97	9	40	0.497
Gender x Consistency	Wilks's $\Lambda$	0.411	1.34	9	40	0.202
Baseline x Gender	Wilks's $\Lambda$	0.395	1.71	9	40	0.227
Polus Size x Consistency	Wilks's $\Lambda$	0.640	0.97	9	40	0.547
Baseline x Polus Size	Wilks's $\Lambda$	0.432	1.36	9	40	0.364
Baseline x Consistency	Wilks's $\Lambda$	0.613	1.74	9	40	0.190
Consistency x Baseline x Gender	Wilks's $\Lambda$	0.413	1.07	9	40	0.402
Polus Size x Gender x Baseline	Wilks's $\Lambda$	0.902	0.59	9	40	0.808
Polus Size x Consistency x Gender	Wilks's $\Lambda$	0.677	1.04	9	40	0.426
Baseline x Polus Size x Consistency	Wilks's $\Lambda$	0.343	1.81	9	40	0.060
Baseline x Gender x Polus Size x Consistency	Wilks's $\Lambda$	0.424	1.34	9	40	0.302

<sup>a</sup> indicates that the mean-difference is significant at  $\alpha = 0.05$

factors were then examined and also provided non-paired *t*-tests (baseline  $\times$  consistency). While  $F(1, 24) = 0.423$ ,  $F_{(1, 24)} = 1.14$ ,  $p = 0.514$ , baseline  $\times$  bolus size (While  $F(1, 24) = 0.032$ ,  $F_{(1, 24)} = 1.16$ ,  $p = 0.545$ , baseline  $\times$  consistency (While  $F(1, 24) = 0.040$ ,  $F_{(1, 24)} = 0.47$ ,  $p = 0.502$ , baseline  $\times$  gender (While  $F(1, 24) = 0.715$ ,  $F_{(1, 24)} = 1.37$ ,  $p = 0.423$ , gender  $\times$  consistency (While  $F(1, 24) = 0.011$ ,  $F_{(1, 24)} = 1.24$ ,  $p = 0.292$ , gender  $\times$  bolus size (While  $F(1, 24) = 0.046$ ,  $F_{(1, 24)} = 0.57$ ,  $p = 0.473$ ). Therefore, the main effects were examined and revealed that baseline and gender significantly affect the combined dependent variable of PBT, BMD, ReMBT, HAND TIME, CVT50, P-A score, HEDR, and HANDER Question (While  $F(1, 24) = 0.502$ ,  $F_{(1, 24)} = 4.53$ ,  $p < 0.001$ , gender (While  $F(1, 24) = 0.508$ ,  $F_{(1, 24)} = 7.96$ ,  $p < 0.001$ ). This combined dependent variable, however, was not significantly affected by bolus size (While  $F(1, 24) = 0.731$ ,  $F_{(1, 24)} = 1.71$ ,  $p = 0.089$ ) or consistency (While  $F(1, 24) = 0.765$ ,  $F_{(1, 24)} = 1.43$ ,  $p = 0.123$ ). Therefore, separate ANOVAs and Tukey's post hoc tests were conducted as follow up tests to evaluate the effects of gender and baseline on the dependent variables. Prior to examining the ANOVA results, the alpha level was adjusted utilizing the Bonferroni adjustment described earlier. Consequently, the adjusted  $\alpha$  level was determined to be  $0.05/8 = 0.006$ . One-way ANOVA results, presented in Table 3-12, reveal that gender significantly differs only for HAMD20 ( $F(1, 24) = 16.72$ ,  $p < 0.001$ ), whereas baseline significantly differs only for the dependent variables of P-A score ( $F(1, 24) = 30.79$ ,  $p = 0.002$ ) and HEDR ( $F(1, 24) = 21.01$ ,  $p < 0.001$ ). Post hoc tests, presented in Table 3-22, reveal that female participants displayed the typical more-anxious (mean = 1.34) than male participants did (mean = 1.23), see Figure 3-20. They also revealed that both P-A score and HEDR measured during the post-treatment baseline (P-A<sub>post</sub> mean = 1.13, HEDR<sub>post</sub> mean = 0.82) were significantly lower than that measured during the

Table 3.27: A model summary table of the univariate ANOVAs conducted for 3 and 10oz swallow dependent variables

Source	Dependent Variable	df	Type III	Mean Square	F value	P value
Model	FBT	23	30.876	0.644	1.28	0.278
	HTO	23	1.217	0.003	1.08	0.889
	HAMCT	23	1.818	0.246	1.24	0.094
	HAMO	23	0.618	0.027	1.11	0.147
	THMO	23	16.781	0.693	1.62	0.023
	CYFO	23	47.046	2.82	6.04	0.002
	F-A Score	23	1.318	0.246	1.27	0.087
	HFOB	23	0.078	0.003	1.02	<0.001
	HAMHOB	23	0.677	0.029	0.28	0.610
Between-Block	FBT	1	0.240	0.240	1.68	0.044
	HTO	1	0.007	0.007	0.18	0.670
	HAMCT	1	0.294	0.294	1.61	0.063
	HAMO	1	0.685	0.685	6.73	0.001
	THMO	1	1.286	1.286	2.80	0.021
	CYFO	1	24.93	24.93	8.64	0.000
	F-A Score	1	0.113	0.113	0.73	0.389
	HFOB	1	0.001	0.001	0.20	0.658
	HAMHOB	1	0.257	0.257	0.19	0.662
Continuity	FBT	1	1.545	1.545	3.23	0.070
	HTO	1	0.027	0.027	0.21	0.640
	HAMCT	1	0.114	0.114	0.22	0.630
	HAMO	1	0.000	0.000	0.00	0.798
	THMO	1	0.074	0.074	0.60	0.437
	CYFO	1	1.009	1.009	1.02	0.317
	F-A Score	1	0.000	0.000	0.00	0.980
	HFOB	1	0.000	0.000	7.87	0.000
	HAMHOB	1	0.000	0.000	0.01	0.928
Residual	FBT	1	0.006	0.006	0.01	0.916
	HTO	1	0.007	0.007	1.10	0.292
	HAMCT	1	0.006	0.006	0.01	0.916
	HAMO	1	0.013	0.013	0.03	0.859
	THMO	1	0.040	0.040	0.24	0.620
	CYFO	1	2.056	2.056	2.98	0.087
	F-A Score	1	1.835	1.835	10.70	0.004
	HFOB	1	0.019	0.019	21.32	<0.0001*
	HAMHOB	1	0.001	0.001	0.49	0.487
Constant	FBT	1	0.738	0.738	1.83	0.175
	HTO	1	0.004	0.004	1.83	0.175
	HAMCT	1	0.040	0.040	0.21	0.641

	HAMD	1	0.148	0.148	1.78	0.002
	THMD	1	0.156	0.156	1.78	0.000
	CYFD	1	2.922	2.922	0.01	0.000
	P-A Score	1	0.000	0.000	1.00	0.000
	HCOR	1	0.000	0.000	1.04	0.000
	HAMOR	1	0.118	0.118	26.70	<0.001*
	HAMOR	1	0.118	0.118	26.70	<0.001*
Index Size x Consistency	FRT	1	0.052	0.052	0.05	0.000
	HRD	1	0.050	0.050	0.04	0.000
	HAMOR	1	0.047	0.047	0.04	0.000
	HAMD	1	0.000	0.000	0.16	0.000
	THMD	1	0.077	0.077	0.18	0.000
	CYFD	1	2.797	2.797	0.00	0.000
	P-A Score	1	0.000	0.000	0.000	1.000
Index Size x Baseline	HCOR	1	0.000	0.000	0.47	0.000
	HAMOR	1	0.000	0.000	0.01	0.000
	FRT	1	0.237	0.237	0.04	0.000
	HRD	1	0.000	0.000	0.04	0.000
	HAMOR	1	0.118	0.118	0.08	0.000
	HAMD	1	0.013	0.003	0.04	0.000
	THMD	1	0.015	0.003	0.00	0.000
Consistency x Baseline	CYFD	1	3.001	3.000	0.00	0.000
	P-A Score	1	0.115	0.113	1.04	0.100
	HCOR	1	0.001	0.000	1.10	0.290
	HAMOR	1	0.004	0.004	0.07	0.017
	FRT	1	0.413	0.413	0.04	0.000
	HRD	1	0.070	0.070	1.10	0.266
	HAMOR	1	0.000	0.000	0.06	0.000
Index Size x Gender	HAMD	1	0.046	0.046	3.60	0.100
	THMD	1	0.011	0.001	0.05	0.017
	CYFD	1	2.090	2.090	0.00	0.000
	P-A Score	1	0.074	0.070	0.71	0.011
	HCOR	1	0.000	0.000	0.70	0.000
	HAMOR	1	0.000	0.000	0.04	0.000
	HAMOR	1	0.000	0.000	0.04	0.000
Consistency x Gender	FRT	1	0.104	0.104	0.10	0.000
	HRD	1	0.000	0.000	1.70	0.000
	HAMOR	1	0.047	0.005	0.00	0.013
	HAMOR	1	0.046	0.046	2.40	0.100
	THMD	1	0.001	0.000	0.00	0.000
	CYFD	1	1.071	1.014	0.00	0.000
	P-A Score	1	0.003	0.005	0.20	0.000
Consistency x Gender	HCOR	1	0.000	0.000	1.10	0.290
	HAMOR	1	0.000	0.000	0.00	0.000

Gender x Baseline	HANDEL	1	0.004	0.004	0.02	0.005
	HAMMO	1	0.071	0.078	4.37	0.047
	THAMMO	1	0.014	0.014	2.05	0.134
	CNTD	1	0.175	0.173	0.88	0.447
	P. A. Score	1	0.075	0.075	0.88	0.487
	HC14	1	0.005	0.001	4.87	0.008
	HAMMER	1	0.002	0.001	1.28	0.044
	PBT	1	0.073	0.073	0.11	0.743
	BLD	1	0.008	0.005	0.87	0.018
	HANDEL	1	0.137	0.107	1.88	0.018
	HAMMO	1	0.005	0.005	0.02	0.004
	THAMMO	1	0.102	0.102	1.38	0.043
	CNTD	1	2.150	2.158	0.09	0.406
	P. A. Score	1	0.100	0.100	1.96	0.047
Baseline x Continuity x Baseline	HC14	1	0.000	0.000	0.01	0.942
	HAMMER	1	0.001	0.001	0.14	0.709
	PBT	1	0.0734	0.0764	0.11	0.737
	BLD	1	0.000	0.000	0.00	0.979
	HANDEL	1	0.300	0.289	0.05	0.334
	HAMMO	1	0.011	0.011	0.76	0.457
	THAMMO	1	0.043	0.033	0.18	0.730
	CNTD	1	3.115	3.113	1.05	0.315
	P. A. Score	1	0.430	0.458	2.04	0.000
	PBT0	1	0.000	0.000	0.71	0.492
	HAMMER	1	0.015	0.015	1.70	0.004
Baseline x Continuity x Gender	PBT	1	0.000	0.000	0.00	0.993
	BLD	1	0.000	0.000	0.00	0.630
	HANDEL	1	0.014	0.014	0.02	0.765
	HAMMO	1	0.004	0.004	2.32	0.004
	THAMMO	1	0.014	0.014	0.88	0.071
	CNTD	1	1.785	1.782	0.00	0.421
	P. A. Score	1	0.004	0.004	1.06	0.000
	HC14	1	0.000	0.000	1.70	0.013
	HAMMER	1	0.001	0.000	0.00	0.940
Baseline x Gender x Baseline	PBT	1	0.071	0.177	0.70	0.014
	BLD	1	0.070	0.044	0.77	0.047
	HANDEL	1	0.000	0.100	2.90	0.030
	HAMMO	1	0.001	0.000	0.02	0.787
	THAMMO	1	0.784	0.704	1.94	0.044
	CNTD	1	2.706	2.743	0.30	0.081
	P. A. Score	1	0.070	0.070	0.34	0.082
	HC14	1	0.000	0.001	1.05	0.314
	HAMMO	1	0.000	0.000	0.00	0.990
	PBT	1	0.000	0.000	0.73	0.008



Consistency in Gender in Baseline	FRT	1	6.409	6.408	0.01	6.754
	HFD	1	6.004	6.001	0.00	6.660
	HA/MET	1	7.071	6.971	4.47	6.670
	HA/MD	1	6.006	6.004	0.04	6.603
	TH/MD	1	7.195	7.154	7.08	6.660
	CYFD	1	7.706	7.706	0.00	6.488
	F-A Score	1	6.000	6.000	0.00	6.560
	H/DR	1	6.000	6.000	0.00	6.600
Index Size in Consistency in Gender in Baseline	FRT	1	2.008	2.004	1.00	6.687
	HFD	1	6.948	6.948	1.10	6.760
	HA/MET	1	6.000	6.000	0.00	6.670
	HA/MD	1	6.000	6.000	0.00	6.718
	TH/MD	1	7.000	7.000	7.48	6.600
	CYFD	1	7.706	7.706	0.00	6.488
	F-A Score	1	6.000	6.000	4.40	6.660
	H/DR	1	6.000	6.000	0.00	6.600
Error	FRT	58	38.666	6.671		
	HFD	56	7.915	6.660		
	HA/MET	58	10.319	6.670		
	HA/MD	56	7.667	6.668		
	TH/MD	58	22.341	6.661		
	CYFD	56	169.606	7.009		
	F-A Score	56	6.729	6.153		
	H/DR	56	6.617	6.600		
Corrected Total	FRT	79	20.801			
	HFD	79	4.750			
	HA/MET	79	28.340			
	HA/MD	79	1.428			
	TH/MD	79	30.811			
	CYFD	79	208.450			
	F-A Score	79	14.887			
	H/DR	79	6.600			
	HA/DR	79	6.601			

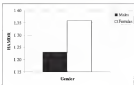
\* indicates that the mean difference is significant at  $p < 0.001$

Table 5.38: Post hoc tests for the effects of Gender on HAMDE, and Baseline on P-A score and IEDR during 5 and 10hr withdrawal

Dependent Variable	Independent Variable Combination		Mean		Mean Difference	Std. Error	P value
	(I)	(J)	(I)	(J)			
HAMDE	M	F	1.236	1.739	-0.503	0.017	<0.0001 <sup>a</sup>
P-A Score	Pre	Post	1.170	1.443	-0.273	0.000	0.001 <sup>a</sup>
IEDR	Pre	Post	0.002	0.044	-0.042	0.000	<0.0001 <sup>a</sup>

<sup>a</sup> indicates that the mean difference is significant at  $\alpha = 0.001$

Figure 3.23 Effect of Gender on HisMDE.



pre-measure baseline ( $F$  &  $\eta^2$  mean = 1.44,  $SESD$   $\eta^2$  mean = 0.85) (see Figures 3-21 and 3-22).

### ***Correlations Between MEF and Other Dependent Variables***

A Pearson's test of correlation was conducted between MEF and the variables included in this study. Results (presented in Table 3-25) reveal a significant, but weak positive correlation between improvements in MEF and PTSD ( $r = 0.183$ ,  $p = 0.008$ ). Positive significant correlations were relatively stronger for MEF with SWAL-QOL total score ( $r = 0.413$ ,  $p < 0.001$ ), LPD ( $r = 0.345$ ,  $p < 0.001$ ), and weak PTSD ( $r = 0.296$ ,  $p < 0.001$ ). An even stronger significant positive correlation was found for MEF with PEPB ( $r = 0.754$ ,  $p < 0.001$ ). In contrast, significant but modest negative correlations were found between MEF and total UPBIB-M scores ( $r = -0.320$ ,  $p < 0.001$ ), PEP-PAVC ( $r = -0.403$ ,  $p < 0.001$ ). Stronger negative correlations were found for MEF with total Test retest scores ( $r = -0.546$ ,  $p = 0.04\%$ ), PECD ( $r = -0.562$ ,  $p < 0.001$ ), and number of retests required to clear the air test light ( $r = -0.615$ ,  $p = 0.004$ ).

### ***Reliability***

A Pearson's test of correlation and a paired sample  $t$ -test were conducted to evaluate the intra-judge reliability in cough measures. Results (presented in Table 3-30) reveal a very strong positive correlation between the measurements made twice by the same judge ( $r = 0.999$ ). In addition, results of the  $t$ -test indicate no significant differences between the measurements ( $p = 0.762$ ). Similar tests were conducted to evaluate the inter-judge reliability in cough measures. Results (presented in Table 3-30) also indicate a very strong positive correlation between the measurements made by the two judges ( $r = 0.989$ ), and that the measurements of the two judges were not significantly different from

Figure 31. Mean P-A scores of different Baselines

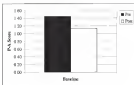


Figure 32: Mean HEDR at different Resolutions.

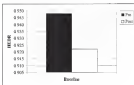


Table 3-25: Correlations between MDP and other dependent variables

Dependent Variables		r	P value
Pain sensory Function	DR/LPWC	0.408	<0.001*
	PTV1	-0.412	0.009
	MDP	0.097	0.305
Cough Function	PTD	0.345	<0.001*
	CTD	0.043	0.690
	PTPB	0.354	<0.001*
	PTPD	0.053	0.659*
	PTPA	0.394	<0.001*
Swallow Function	Swallow Duration	-0.344	0.012*
	Number of Swallows	0.418	0.004*
Subjective Swallows	FR1	-0.150	0.356
	FRD	0.305	0.071
	SLAMR1	0.384	0.040
	SLAMR2	0.156	0.338
	SLAMR3	0.050	0.695
	CRPD1	0.037	0.812
	HRD1	-0.562	<0.001*
	SLAMDR	-0.077	0.468
Total Score		-0.137	0.175
Overall-QoL Total		0.481	<0.001*
Motor System	UPDRS-M Total	0.321	<0.001*

\* indicates that the mean difference is significant at  $\alpha = 0.05$

Table 3.20: Results of intra- and inter-judge reliability of rough and smooth measurements (cont.).

State	Reliability	Fleissman's $\kappa$	Krippen's $\kappa$ index
Crunch	Intra-Judge	0.993	0.793
	Inter-Judge	0.768	0.734
Swallow Temporal	Intra-Judge	0.971	0.778
	Inter-Judge	0.953	0.884
Swallow (Displacement)	Intra-Judge	0.938	0.872
	Inter-Judge	0.973	0.903



each other ( $p = 0.314$ ).

To investigate the inter-judge reliability of overall temporal measure data, another Pearson's test of correlation and another equal variance t-test were conducted. Results, presented in Table 3-29, reveal the presence of a very strong positive correlation between the two measurements ( $r = 0.927$ ), and the null-hypothesis between them ( $p = 0.776$ ). Inter-judge reliability of overall temporal data was also investigated using a Pearson's test of correlation and an equal-variance t-test. Results, presented in Table 3-30, reveal a very strong positive correlation between the measurements made by the two judges ( $r = 0.910$ ). They also indicate that the difference between the two measurements were insignificant ( $p = 0.646$ ).

Finally, the reaction displacement measure data were tested for inter- and intra-judge reliability using Pearson's tests of correlation and equal variance t-tests. Results of inter-judge reliability tests, presented in Table 3-31, indicate a very strong positive correlation between the two measurements ( $r = 0.936$ ), and that the difference between the two measurements was insignificant ( $p = 0.972$ ). Results of intra-judge reliability tests, see Table 3-32, also indicate a very strong positive correlation between the measurements made by the two judges ( $r = 0.971$ ), and that the difference between the two judges measurements was insignificant ( $p = 0.941$ ).

## CHAPTER 4 DISCUSSION

This was a phase I treatment outcome study evaluating the effects of an expiratory muscle strength training program (EMST) in patients with idiopathic Pulmonary fibrosis. It investigated the effects that potential gains in expiratory muscle strength might have on pulmonary and cough functions. Additionally, the training program specifically targets respiratory muscle activity. Thus, another purpose was to determine the impact of EMST on the swallow function of patients with IPF. Finally, the study investigated the effects of nonpharmacological interventions on the pulmonary and cough functions.

### **Pulmonary Function**

The results of this study indicate that a significant improvement was found in the expiratory muscle strength of patients with IPF following exposure to EMST. This finding concurs with other studies utilizing this program in healthy (Baker, 2000; Sepenza et al., 2000) and clinical populations (Chen et al., 2003; Sepenza et al., 2000; Sepenza, 2002) and provided evidence of the training potential of expiratory muscles in patients with IPF. Further, this study found no significant effect of pharmacological treatments on the expiratory muscle strength of patients with IPF. While this finding is in agreement with the findings of Wenzel et al. (2002), it contradicts those of Bellman et al. (1990). This discrepancy may be due to differences in medications used among the studies. It could be further attributed to differences in participants' age and disease severity level. Compared to the current study (mean age = 59), the participants in the

Werner et al. (2002) study were older (mean age = 66) while those in the DeFina et al. (1993) study were younger (mean age = 57). All the participants in the Werner et al. (2002) sample used Laryngeal as their suprapalatal articulation, whereas participants in the DeFina et al. (1993) sample only used Alveopalatal (a dependent segment). Most of the participants in this study used a combination of Laryngeal and a dependent segment. Therefore, the potential improvements in MEP with a dependent segment, as suggested by DeFina et al. (1993), might have been blocked by the (compensated) use of Laryngeal by participants in this study. Nonetheless, this finding is not trivial as it may suggest the potential of non-dependent, in-addition to dependent, pathway involvement in the pathogenesis of respiratory muscle weakness in patients with IPD. Specifically, these findings suggest that pathogenesis respiratory muscle weakness, evidenced here, is not solely related to supraglottal dependent delicacy and may be due to an addition non-dependent related disturbance of the central pattern generator for respiration in the pontomedullary nucleus or related structures in the brainstem (see Figure 1-7).

The gender differences found for respiratory muscle strength in this study were in accordance with those previously found for limb muscle strength (Morse & Hoyle, 1981; Powers & Howley, 2001). The current study found that male patients with IPD had significantly stronger respiratory muscles (approximately 20%) than females did. This gender effect is probably due to the larger cross-sectional area, and therefore force production ability, of the skeletal muscles in males compared to females (Powers & Howley, 2001). The effect of gender on respiratory muscle strength is similar to that reported for the skeletal muscles in the lower body (approximately 20%) but less than that found for the upper body (approximately 30% Powers & Howley, 2001). However,

the respiratory muscles of both genders responded similarly to the strength-training program, with females gaining approximately 37% and males gaining approximately 30%. This finding is in agreement with those previously reported for both skeletal muscles (Hollaway & Buncick, 1986; O'Brien & Wiggins, 1981; Williams, 1974).

The significant improvements in respiratory muscle strength following exposure to EMST resulted in improvements of some measures of pulmonary function, FEV1 and MEF. This may be due to improvements in respiratory muscle force generation in response to strength-training, similar to that reported for limb muscles (Hickson, 1980; Powers & Howley, 2001). Improvement in FEV1 without altering the FVC/FVC ratio indicates that FVC was improved at the same rate as that of FEV1. Descriptive analyses showed similar improvements of FVC to that of FEV1, on the order of a 5-5% increase post-training. Improvements in FVC with EMST signify an increased lung capacity likely resulting from the improvements of FEV1. Improvements in FVC could be attributed to potential improvements in respiratory muscle strength and/or activity with EMST. The potential strength gain of respiratory muscles is conceivable since the activities performed during EMST require the repetitive activation (i.e., increased loads) of the respiratory muscles to reach a near total lung capacity. Further improvements in FVC could be attributed to gains in the respiratory muscle activation since strength training programs are known to reduce the antagonistic muscles coactivation (Delbecq et al., 1983). Therefore, it is possible that although EMST is specific to the respiratory muscles, it has a potential secondary impact on the activity, strength, and function of the respiratory muscles.

The improvements in FEV<sub>1</sub> in patients with IPD may be beneficial in increasing their survival rate. Schoenemann, Darr, Grant, Wiselblum, and Tinsman (2000) found that higher FEV<sub>1</sub> scores were significantly associated with mortality in healthy participants and could be used as a predictor for survival rates since FEV<sub>1</sub> should result in better ability to clear the airway as well as improve the gas exchange rate. Similar findings were found by Fleiss and Selinger (1990).

Antipulmonary medications failed to exert a significant change on any of the pulmonary function measures of this study, although they resulted in a significant 11% improvement in UPDES-B total scores. The effects of antipulmonary medications on pulmonary function were consistent with the findings of O'Connor et al. (1972) and Horer et al. (2001) and contradicted those reported by Deffense et al. (1993), Nakase et al. (1972), and Vackier et al. (1989). An observed outlier participant in the Deffense et al. (1993) study used dependent equipment whose effect may be blocked by the conjunctural use of Laseclops. Therefore, their results might not be directly compared to those of this study. In contrast, both the Nakase et al. (1972) and Vackier et al. (1989) studies used the effects of Laseclops on the pulmonary function of their participants. The Vackier et al. (1989) report, however, was of a single case with a reversible upper airway obstruction with Laseclops intake. Although carefully designed and analyzed, the results of Vackier et al. (1989) cannot be generalized to the pulmonary performance of patients with IPD after Laseclops intake. Nakase et al. (1972) investigated the effects of Laseclops intake on 23 patients with IPD, but failed to control for the effects of smoking (sample included 12 smokers) or the presence of other pulmonary diseases (present in 12

of their participants). Therefore, their expected improvement of pulmonary function as a result of Laryngeal stroke could not be realized.

The lack of anipartimonous medication effect on pulmonary function reported by the current study supports the potential of non-degenerative, in addition to degenerative, pathways involvement in the pathogenesis of pulmonary dysfunction in patients with IPD. As described earlier, these findings suggest that poststroke pulmonary dysfunction, evidenced here, is not solely related to supratentorial degenerative deficiency and may be due to an additional non-degenerative related disturbance of the control pattern generated for inspiration in the pontolobulopontine nucleus or related structures in the brainstem (see Figure 1-3).

Finally, male participants outperformed the females in the pulmonary function measures of FEV1 and MEF but not PEF/PVC. This is consistent with reported gender differences among healthy individuals (e.g., Ashley, Kennel, Serfati, & Mawardi, 1973; Chen & Kim, 1985; Schwartz, Kohn, Fogarty, & Tashman, 1988).

### **Cough Function**

Cough measures were differentially affected by EMST, gender, and anipartimonous medication. Inspiratory phase duration was significantly reduced with EMST. Reduction in expiratory duration following EMST are consistent with findings of another study utilizing this program in healthy individuals (Jalut, 2005), and signify the ability of the respiratory muscles to produce a sufficient airflow rate utilizing less expiratory lung volume.

Anipartimonous medication resulted in a longer inspiratory duration in the medication ON state. Recall, there was no significant effect of anipartimonous

medications on any of the pulmonary function measures described earlier, suggesting that chemical regulation is unchanged by the medications. The results for respiratory duration was not congruent with the anticipated results of medication intake. While other hypotheses about central inhibition and activity of other muscles, such as those in the larynx, could be postulated, the fact that this study did not examine activity of the larynx, would make these speculations premature.

Males had longer respiratory durations than females did. This may be caused by the previously reported relatively larger FVC in males than females. A larger FVC may require a longer respiratory phase to reach a sufficient respiratory lung volume for the compression phase to commence.

As for compression phase duration, a significant interaction between the training and gender occurred. This was characterized by no change in compression phase duration for males with EMST. Females, on the other hand, had significant progressive declines in compression phase duration with training. However, this decline cannot be totally attributed to EMST because the pre-training multiple baseline outcome for compression phase duration produced by the females was inconsistent. In order to examine this variability in gender performance during cough production, the status of the laryngeal adductors, like the lateral cricoarytenoid (LCA) and the cricothyroid (CT) muscles should be examined concurrently. This would help determine the normality of their activity. If the primary laryngeal adductors are functioning normally, then the lack of attention to the compression phase duration would be expected. Antagonistic muscle inhibition had no significant effect on compression phase duration.

The PEF<sub>R</sub> was affected by EMST for the males. It was significantly higher for males post-treatment from that measured during the second pre-treatment baseline but not the first one. This difference could be attributed to improvements in the behavior of the male parents with EPG since the measurement made during the second pre-treatment baseline was not significantly different from that made during the first pre-treatment baseline. Assuming PEF<sub>R</sub> was positively affected by EMST for the males, this would be likely due to higher force generation of the expiratory muscles, thus resulting in higher airflow rates. PEF<sub>R</sub> was unaffected by EMST for the females and is most likely due to the smaller size of the female airway limiting the peak expiratory flow rate even in the presence of higher expiratory force generation.

**Anesthetic-medication-induced PEF<sub>R</sub>** Since the expiratory muscles were unaffected by these medications, the negative effect could be attributed to the possible interference effect the medications might have on the laryngeal muscles. This was alluded to earlier under the discussion for respiratory phase duration. While it is speculated, PEF<sub>R</sub> may be reduced by an incomplete contraction of the laryngeal abductor muscles or incomplete relaxation of the laryngeal adductor muscles. Since the laryngeal adductor appear to be functioning normally during the inspiration phase the possible implication of the negative effects of medications on PEF<sub>R</sub> is of interest but requires further study. Finally, because all measures were made first in the OFF medication state followed by the ON medication state the effect of drug washout is noted too.

No significant effect was found for EMST on the measure of PPD. This indicates that changes induced by EMST could not alter the ability of the expiratory



muscles to sustain their generated force. This is consistent with the findings of improved force generation but not endurance of the limb skeletal muscles in response to strength training programs (Fowles & Rowley, 2003).

A significant gender effect was found on PFTD, with males having longer PFTDs than females. This is likely attributed to the greater forced expiratory muscle activity of males, which was demonstrated by their significantly higher (PVT) measures compared to females. However, the small extent to which males are exposed to resistance from PFTs, their longer duration with increased amplitude would be of the most benefit for clearing the airway.

Acetaminophen medication had no significant effect on PFTD. The duration of the PFTD is mostly attributed to the activities of the expiratory muscles. The lack of acetaminophen analgesic effect on PFTD demonstrated here is similar to the lack of analgesic effect reported earlier for pulmonary function. It also supports the potential of non-dopaminergic, in addition to dopaminergic, pathways involvement in the pathogenesis of pulmonary dysfunction in patients with PD. As described earlier, these findings suggest that parkinsonian pulmonary dysfunction (evidenced here) is not solely related to a postural dopamine deficiency and may be due to an additional non-dopamine related disturbance of the control pattern generation for respiration in the postulocognitive network or related structures in the brainstem (see figure 1-3).

A three-way interaction was found for PFTA among baseline (EMET), gender and medication. Results of PFTA indicate that although females/men had longer PFTAs than females. Therefore, males were able to sustain the post-peak airflow at higher amplitudes and for longer times than females did. This could be attributed to differences between the two genders in expiratory muscle strength and their force generation abilities

(Ashby et al., 1973; Chan & Kuo, 1986; Schwart et al., 1983). The effects of oropharyngeal modulation were relatively small as PPTa did not respond differently in the EMST and CM modulation states. Although inconsistent, PPTa were higher for some participants following EMST while PPTb was unchanged. This indicates that changes induced by EMST could be the result of improved force generation abilities of the expiratory muscles, but not the ability to sustain that force. This is consistent with the findings of improved force generation but not endurance of the limb-dominant muscles in response to strength training programs (Powers & Howley, 2000).

### **Swallow Function**

There was no significant training effect on the 3 rd. swallow function. It is possible that a ceiling effect is being reached as the participants' swallow function was mildly impaired, and a population sample with more severely impaired swallow function could better reveal the full potential of EMST as a rehabilitative program of the swallow function in patients with IPD.

The results of the 1st and 2nd liquid sequential swallow indicated only a significant effect for gender as female participants took a longer time to clear the 1st swallow with more sequential swallows than males did. This could be simply explained by the known larger anatomical dimensions of the vocal tract in men such as larger cross-section of the pharynx (Fujita & Sasaki, 1992) allowing for larger bolus intake than females and requiring shorter time and less number of sequential swallows to clear secretions volume. In contrast, all temporal measures of 3 and 4th boluses in both conditions were not significantly affected by baseline and gender. Recall that the modulation status was not compared for swallowing measures.

The displacement measure of larynx elevation (on the other hand) indicated larger superior displacement following EMST. This increased displacement post-treatment was observed in the same direction as that measured pre-treatment. This finding, therefore, indicated that the larynx moved at a higher velocity in the superior direction following EMST. Many underlying physiological mechanisms could have strengthened this finding. Breath support is associated with superior movement of the larynx that could be caused by either increased superior tracheal pull or the contraction of the supraglottal muscles (Fink & Dennerstein 1971; Kerkhofs 1994). It is possible, therefore, that the increased activation of these muscles as a result of the EMST task could have resulted in their improved force generation abilities, enhancing the observed superior movement of the larynx. Other hypotheses, however, cannot be ignored. Indeed, high surface rates generated during EMST might have resulted in increased activation of the lingual and oropharyngeal mechanoreceptors, which has the potential of increasing the activation of the nucleus tractus solitarius and, in turn, the nucleus ambiguus and its associated motor units. Consequently, this could result in improved activation of the pharyngeal constrictor groups and its musculature. However, since no changes were observed for the pharyngeal constrictor temporal measures following EMST, it is possible that this hypothesis is not operational under these conditions. No significant gender effect was found on HEDR.

An important functional finding of the effects of EMST on the swallowing of patients with PSP was the significant improvement in P-A score following exposure to EMST. This finding reveals that the physiological mechanisms activated by EMST resulted in improved protection of the airway. The three hypotheses described earlier as

explain the increased laryngeal displacement could also be postulated for the improvement in P & A score. Likewise, improvements in P & A score, however, could be the result of increased activation of the lateral intercostal muscle (LICM, a laryngeal abductor muscle) induced by increased lung volume during EMST (Koskenvuo et al., 1998). Increased activation of the LICM improves laryngeal closure and could have contributed to the reduction in laryngeal intrusion observed following EMST. No gender effect was found; effect was predicted.

It is worth noting the use of the displacement scores is applicable to this patient. The displacement scores used to measure the laryngeal movements in this study were used to estimate measures of the laryngeal movement magnitude. These measures were used to examine the potential effects of single versus continuous training on larynx. Actual displacement magnitudes could be used in future studies if a correction factor could be included relating the presence of an object of known dimensions in the captured real-time images. In addition, the trajectory of the produced movements might be worth exploring to identify the temporal progress of these movements, and the possible associations of these movements with other temporal events in the real-time process.

### Summary

Overall, this study provided the results of a phase I clinical outcome research (Riley & Schellin, 1991) investigating the therapeutic effects of EMST on pulmonary, cough, and swallow functions. It further provided a Class II level of evidence (American Academy of Neurology, 1994) of the therapeutic effects of EMST in patients with IPD. It represented an essential step towards exploring the potential of EMST as an efficacious rehabilitative program for the respiratory muscles in patients with IPD. Although

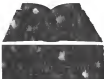
therapeutic effects were detected by this study, preliminary investigations are still needed to establish the optimum duration of EMST to show the best therapeutic effect. The duration utilized in this study was four weeks. The therapeutic effects observed as a result of EMST in this short period could mostly be attributed to the physiological mechanisms of neural adaptation (Jain, 1989). Further investigations are needed to explore the role of these adaptations by investigating the potential relative involvement of the supraspinal/segmental and peripheral mechanisms in the emergence of the therapeutic effects. Longer durations of EMST could also be investigated, and the underlying physiological mechanisms of these therapeutic effects, if achieved, require further research. In addition, preliminary work is needed to investigate EMST effects on more severe cases of IPD to explore the full potential of this rehabilitation program. Finally, the long-term effects of EMST as a therapeutic, efficacious home-based rehabilitative program could be investigated. Its efficacy in comparison with other programs are worth exploring.

Although pharmacological effects were trivial in the baseline trial prior to EMST, these effects might be considerable and worth exploring in a group of patients with more severe Parkinson's disease. The lack of medication effect in the group studied in this project could lend support to the potential of non-dopaminergic, or additional dopaminergic, pathways involvement in the pathogenesis of rigidity and muscle stiffness in patients with IPD. It is also consistent with the view that the dopaminergic system affects systems to varying degrees, probably as a result that a defective motor circuit could have an interaction with other neural circuits and structures (Dial, et al.,

1990; Volk, 1997, pp. (Chapter One). These microstudies are complex and require careful examination of their effects on the factors investigated in the current study.

APPENDIX A  
THE SWAL-QOL SURVEY

## The SWAL-QOL SURVEY



## Instructions for Completing the SWAL-QOL Survey

This questionnaire is designed to find out how your swallowing problem has been affecting your day-to-day quality of life.

Please take the time to carefully read and answer each question. Some questions may look like others, but each one is different.

Here are some examples of how the questions in the survey will look.

1. In the last month how often have you experienced each of the symptoms below:

	All of the time	Most of the time	Some of the time	A little of the time	None of the time
Fast weight	1	2	3	4	5

**Thank you for your help in taking part in this survey!**



**IMPORTANT NOTE:** The statement that you are given a number of different problems guarantees it is hard to separate these from a swallowing problem. But we hope that you can do your best to concern only one of our swallowing problems. Thank you for your efforts in completing this questionnaire.

Below are some general statements that people with swallowing problems might endorse. In the last month, how true have the following statements been for you?

*(circle your number on each line)*

	Very much true	Quite a bit true	Sometimes true	A little true	Not at all true
Dealing with my swallowing problem is very difficult		1	2	3	4
My swallowing problem is a major distraction in my life		1	2	3	4

Below are aspects of day-to-day eating that people with swallowing problems sometimes talk about. In the last month, how true have the following statements been for you?

*(circle your number on each line)*

	Very much true	Quite a bit true	Sometimes true	A little true	Not at all true
Most days I don't eat if I get on my	1	2	3	4	5
It takes me longer to eat than other people	1	2	3	4	5
I'm rarely hungry anymore	1	2	3	4	5
It takes me forever to eat a meal	1	2	3	4	5
I don't enjoy eating anymore	1	2	3	4	5



7. In the last month, how often have you been able to control when you start smoking because of your swallowing problem?

Circle one number on each line

	All of the time	Most of the time	Some of the time	A little of the time	None of the time
I can't have a hard time understanding the		1	2	3	4
It's been difficult for me to understand	1	2	3	4	5

Below are some problems that occur with swallowing problems sometimes  
reasons in the last month. Circle one number how you experienced each feeling?

Circle one number on each line

	Almost always	Often	Sometimes	Hardly ever	Never
I feel I have lost control when I eat food		1	2	3	4
When I eat, I often choke or am late at or missing meals		1	2	3	4
I have trouble when I am going to sleep		1	2	3	4
I have trouble when I am going to sleep		1	2	3	4

8. In the last month, how often have the following statements been true for you because  
of your swallowing problem?

Circle one number on each line

	Always true	Often true	Sometimes true	Hardly ever true	Never true
My swallowing problem causes me	1	2	3	4	5
Having to be so careful when I eat at times annoys me	1	2	3	4	5
I've been embarrassed by my swallowing problem	1	2	3	4	5
My swallowing problem frustrates me	1	2	3	4	5
I get impatient dealing with my swallowing problem	1	2	3	4	5

Think about your sexual life if the test doesn't. How strongly would you agree or disagree with the following statements?

Circle one number on each line

	Strongly agree	Agree	Uncertain	Disagree	Strongly disagree
I do not go out to sex because of the pregnancy program.	1	2	3	4	5
The pregnancy program makes things so awkward socially.	1	2	3	4	5
The sexual role of women always have changed because of the pregnancy program.	1	2	3	4	5
Sexual relationships in the home or out-of-home are no longer because of the pregnancy program.	1	2	3	4	5
My sex with father and mother has changed because of the pregnancy program.	1	2	3	4	5

Is the statement True/False in your experiences with the following physical symptoms?

Circle one number on each line

	All of the time	Most of the time	Some of the time	A little of the time	None of the time
Fear when?	1	2	3	4	5
Have trouble falling asleep?	1	2	3	4	5
Fear sleep?	1	2	3	4	5
Have trouble staying awake?	1	2	3	4	5
Fear going away?	1	2	3	4	5

\*6) Do you now take any food or drink through a feeding tube? 1

(circle one)

No \_\_\_\_\_ 0

Yes \_\_\_\_\_ 1

\*7) Please circle the letter of the one description below that best describes the consistency or texture of the food you have been eating most often in the last week.

Circle one.

- A. Circle this one if you are eating a full normal diet which would include a wide variety of foods including hard to chew items like steak, carrots, bread, salad, and popcorn.
- B. Circle this one if you are eating soft, easy to chew foods like casseroles, cassery, fruits, soft cooked vegetables, ground meats, or cream soups.
- C. Circle this one if you are eating food that is put through a blender or food processor or anything that is like pudding or pureed foods.
- D. Circle this one if you take most of your nutrition by tube, but sometimes eat ice cream, pudding, apple sauce, or other pleasant foods.
- E. Circle this one if you take all of your nourishment through a tube.

11. Please circle the letter to the one description below that best describes the consistency of sounds you have been or being most often in the last week.

Circle one:

- Circle this if you drink liquids such as water, milk, tea, fruit juice, and coffee.
- Circle this if the viscosity or "thickness" of liquids you drink are thick, like tomato juice or applesauce. Such thick liquids are difficult to suck through a straw like a drink usually becomes when you turn it upside down.
- Circle this if your sounds are moderately thick, like a thick milkshake or smoothie. Such moderately thick sounds are difficult to suck through a straw like a very thick milkshake or one of your smoothies or a drink that when you turn it upside down, "slops" a little.
- Circle this if your sounds are very thick, like pudding. Such very thick liquids are thick to a point when you turn it upside down, such as pudding.
- Circle this if you do not take any sounds or mouth or if you have been "stuck" in one state.

12. In general, would you rate your needs as

(circle one)

Poor.....1  
 Fair.....2  
 Good.....3  
 Very Good.....4  
 Excellent.....5

## General Questions About You

What is the date of your birth?

Please write in your date of birth here

month day year

What is your age today? \_\_\_\_\_

Are you a

(circle one)

Male \_\_\_\_\_ 1

Female \_\_\_\_\_ 2

What is your race (check all that apply)?

(check all)

White or Caucasian (do not Hispanic or Latino) \_\_\_\_\_ 1

Black or African-American (do not Hispanic or Latino) \_\_\_\_\_ 2

Hispanic or Latino \_\_\_\_\_ 3

Asian \_\_\_\_\_ 4

Other \_\_\_\_\_ 5

What is the highest year of school or college you have ever completed?

(please use number)

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
Elementary School								High School				College				Post Graduate

What is your current marital status?

(circle one)

Never married ..... 1

Married ..... 2

Divorced ..... 3

Separated ..... 4

Widowed ..... 5

Did anybody help you complete this questionnaire?

(circle one)

No, I did it myself ..... 1

Yes, someone helped me fill it out ..... 2

IF SOMEONE HELPED YOU FILL OUT THIS QUESTIONNAIRE, how did that person help you?

(circle one)

Read you the questions and/or wrote down the answers you gave ..... 1

Answered the questions for you ..... 2

Helped in some other way ..... 3

Please write today's date here:

month    day    year



**COMMENTS:**

Do you have any comments about this questionnaire? We welcome your comments about the questionnaire or parents or about your questions, especially any that were unclear or confusing to you.

4

**Thank you for completing this questionnaire!**

**APPENDIX B**  
**WRITTEN TRAINING INSTRUCTIONS TO PARTICIPANTS**

**RESPIRATORY MUSCLE TRAINING PROGRAM**

**INSTRUCTIONS**

The most important number to remember throughout the training period is the number 5. You will complete this training program 5-days per week. You will complete 5 sets of the exercises with 5 repetitions each until you complete your training.

You have been given a respiratory trainer to complete your training at home. You will use this same trainer for the entire time that you are participating in this study.

**FIRST WEEK OF TRAINING**

1. Place the nose clip on your nose.
2. Breathe in as much air as you can and place the mouth piece in your mouth.
3. As soon as the mouth piece is in your mouth breathe out as much air as you can.
  - o keep a tight seal with your mouth around the mouth piece.
  - o when the respiratory pressure is strong enough to open the valve, you will hear a rush of air move through the device.
4. Repeat this respiratory exercise 5 times (steps 1-4) resting for 30 seconds to 1 minute inbetween each repetition.
5. When you have finished all 5 repetitions rest for 2 minutes (you have completed 1 set).
6. After you have rested for 2 minutes repeat steps 1 – 5 (for 5 repetitions).
7. You will complete with this pattern of 5 repetitions and 2 minute breaks until you have completed the 5 repetitions procedure 5 times (now you have completed 5 sets).
8. On your training log, record the date and the time you completed these exercises.

9. You will need to complete steps 1-5 5 times during the week.
10. At the end of the training week, you will have an appointment during which your maximum respiratory pressure will be taken and your respiratory trainer will be reset.

#### SECOND, THIRD, AND FOURTH WEEK OF TRAINING

During the second, third, and fourth week of training, you will follow the guidelines described for the first week.

**APPENDIX C  
COMPLIANCE LOG SHEETS OF PARTICIPANT TRAINING**

**Participant**

**ID**

**Pressure Threshold Training  
Training Log**

**Week 1**

**Time of last week intake**

**Time Now**

Start Date	MSP 1	MSP2	MSP3	Avg. MSP	Therapist Rating
--	MSP1	MSP2	MSP3	Avg. MSP	--

Date	Time	SET 1 (5 breaths)	SET 2 (5 breaths)	SET 3 (5 breaths)	SET 4 (5 breaths)	SET 5 (5 breaths)

Participant: \_\_\_\_\_

ID: \_\_\_\_\_

**Week 1**

Time of last meals intake: \_\_\_\_\_

Time Now: \_\_\_\_\_

Rest Day	MMP1	MMP2	MMP3	Avg. MMP	Trainer Rating
—	MMP1	MMP2	MMP3	Avg. MMP	—

Date	Time	SET 1 (5 breaths)	SET 2 (5 breaths)	SET 3 (5 breaths)	SET 4 (5 breaths)	SET 5 (5 breaths)

**Week 2**

Time of last meals intake: \_\_\_\_\_

Time Now: \_\_\_\_\_

Rest Day	MMP1	MMP2	MMP3	Avg. MMP	Trainer Rating
—	MMP1	MMP2	MMP3	Avg. MMP	—

Date	Time	SET 1 (5 breaths)	SET 2 (5 breaths)	SET 3 (5 breaths)	SET 4 (5 breaths)	SET 5 (5 breaths)

Participant

ID

## Week 4

Time of last pinch intake

Time Now

Scan Date	MSP1	MSP2	MSP3	Avg. MSP	Trainer Rating
--	MSP1	MSP2	MSP3	Avg. MSP	--

Date	Time	SET 1 (3 trials)	SET 2 (3 trials)	SET 3 (3 trials)	SET 4 (3 trials)	SET 5 (3 trials)

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## BIOGRAPHICAL SKETCH

In May of 1999, Ahmad Para Salas received his undergraduate degree in speech language pathology and audiology from the University of Northern Colorado in Greeley, CO. Upon graduation, he started his graduate studies at the University of Florida in Gainesville, FL, from which he received his Master of Arts degree in speech-language pathology in May of 2000. Ahmad immediately pursued his doctoral degree in the field of neurogenic speech and language disorders in the Department of Communication Sciences and Disorders at the University of Florida under the direction of Dr. John C. Easterbrook and Dr. Christine M. Segerson.

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I certify that I have read this study and that in my opinion it conforms to acceptable standards of scholarly presentation and is fully adequate in scope and quality as a dissertation for the degree of Doctor of Philosophy

  
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